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TRB Webinar: Innovation in Geoseismic Foundation Design and Performance

May 23, 2023 3:00 - 4:30 PM



PDH Certification Information

1.5 Professional Development Hours (PDH) – see follow-up email

You must attend the entire webinar.

Questions? Contact Andie Pitchford at TRBwebinar@nas.edu

The Transportation Research Board has met the standards and requirements of the Registered Continuing Education Program. Credit earned on completion of this program will be reported to RCEP at RCEP.net. A certificate of completion will be issued to each participant. As such, it does not include content that may be deemed or construed to be an approval or endorsement by the RCEP.



Purpose Statement

This webinar will share the latest findings on the seismic design and performance of foundations.

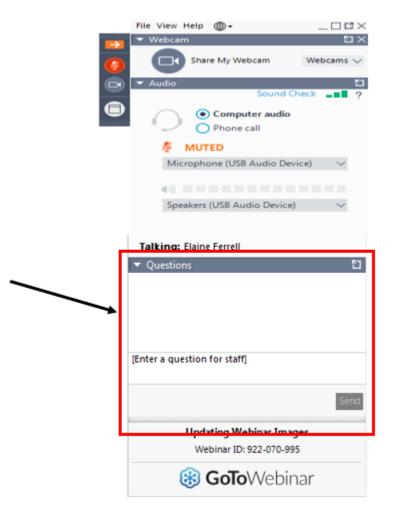
Learning Objectives

At the end of this webinar, you will be able to:

- (1) Assess performance-based liquefaction
- (2) Share the latest innovation and findings on geoseismic foundation design and performance

Questions and Answers

- Please type your questions into your webinar control panel
- We will read your questions out loud, and answer as many as time allows



Today's presenters



Sharid Amiri sharid.amiri@dot.ca.gov





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NATIONAL Sciences Engineering Medicine



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Arash Khosravifar karash@pdx.edu



SIMPLIFIED PERFORMANCE-BASED LIQUEFACTION HAZARD ANALYSIS

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Dept. of Civil and Construction Engineering

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TRB Webinar

May 23, 2023















Acknowledgments

- Sponsors Utah, South Carolina, Oregon, Alaska, Montana, Idaho, and Connecticut DOTs, FHWA, and USGS
- Students Alex Wright, Dr. Kristin Ulmer, Levi Ekstrom, Lucy Astorga Hoch, Brian Peterson, Braden Error, Mikayla Hatch, Tyler Coutu, Alex Arndt, Jenny Blonquist, Heidi Dacayanan, Jingwen He Liang, Clay Fullmer, Sarah Jaen McClellan, Dallin Smith, Lila Lasson, Reed Reimschussell, and Ivy Stout
- Colleagues, Advisers, and Collaborators Dr. Kyle Rollins, Dr. Steven Kramer, Dr. Les Youd, Dr. Bret Lingwall, Dr. Jorge Meneses, Dr. Scott
 NATIONAL Sciences Olson, David Stevens, Nicholas Harman, Grant

Cummou Darin Siahlum Ari Manitava

Various Approaches for to Represent PGA,M in Liquefaction Hazard Analysis

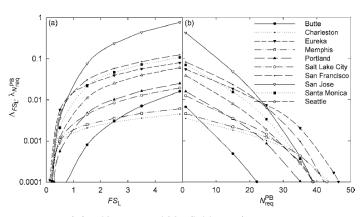
- Deterministic Approach
 - Considers <u>an individual seismic source</u> and corresponding ground motions individually
 - Usually assumes mean values for the inputs and models
- Pseudo-Probabilistic Approach
 - Considers <u>probabilistic ground motion</u> from a single return period
- Probabisatally assumes modals values for the inputs and models
 - Considers <u>probabilistic ground motions from ALL return</u> <u>periods</u>
 - Accounts for parametric and model uncertainties
 Results depend on desired hazard level or return period

A Probabilistic Liquefaction Hazard Analysis (PLHA) Approach

- Kramer and Mayfield (2007) introduced a PLHA approach
 - Uses probabilistic ground motions in a <u>probabilistic</u> manner
 - Accounts for uncertainty in seismic loading <u>AND</u> the liquefaction triggering model
 - Produces liquefaction hazard curves for each sublayer in the soil profile

$$\Lambda_{FS_L} = \sum_{i=1}^{N_M} \sum_{i=1}^{N_{a_{\max}}} P \left[FS_L < FS_L^* \mid a_{\max_i}, m_j \right] \Delta \lambda_{a_{\max_i}, m_j}$$

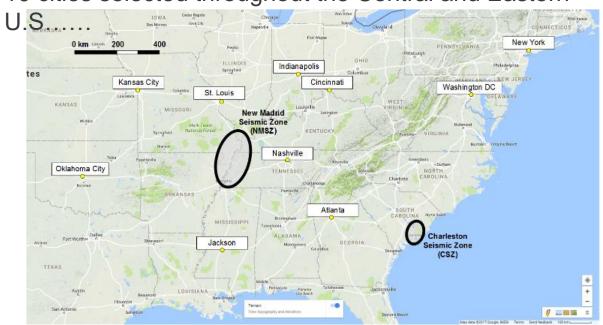
$$\lambda_{N_{req}^*} = \sum_{j=1}^{N_M} \sum_{i=1}^{N_{a_{\max}}} P \left[N_{req} < N_{req}^* \mid a_{\max_i}, m_j \right] \Delta \lambda_{a_{\max_i}, m_j}$$



(after Kramer and Mayfield 2007)

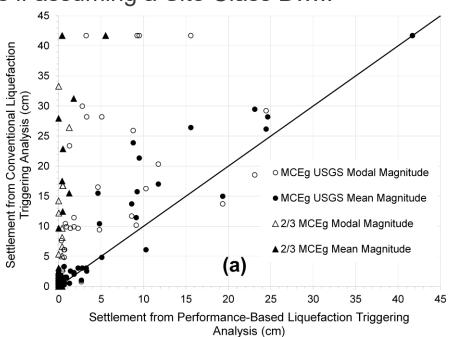
Why This Matters to Regions of Low to Moderate Seismicity

10 cities selected throughout the Central and Eastern



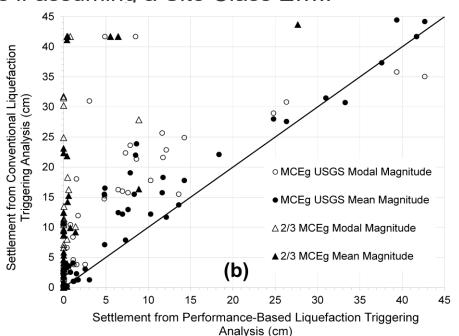
Why This Matters to Regions of Low to Moderate Seismicity

Results if assuming a Site Class D.....



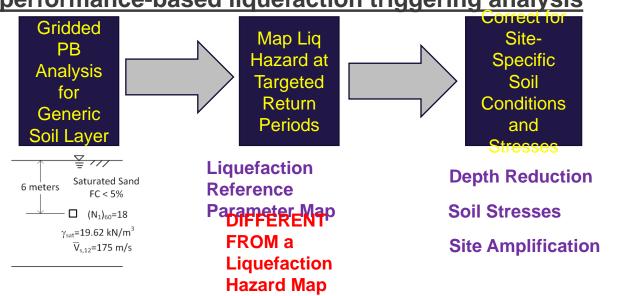
Why This Matters to Regions of Low to Moderate Seismicity

Results if assuming a Site Class E.....



Simplified Probabilistic Liquefaction Triggering Procedure

Mayfield et al. (2010) introduced the concept of a <u>simplified</u> performance-based liquefaction triggering analysis





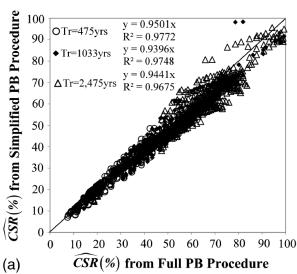
Simplified Probabilistic Procedures for Other Liquefaction Effects

In 2014, a major multi-state, multi-agency research effort was initiated to develop map-based uniform hazard analysis procedures for various liquefaction effects (settlement, lateral spread, and Newmark seismic slope displacement)



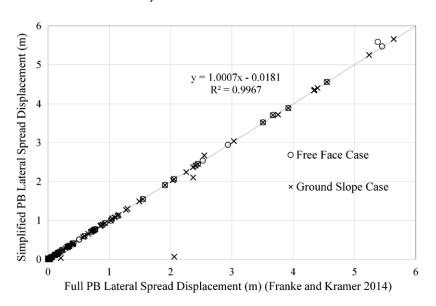
Simplified PB Liquefaction Triggering

Here are some comparisons from 10 different cities, 5 different soil profiles, and 3 different return periods (Ulmer and Franke 2016, showing Boulanger and Idriss (2012) model results)



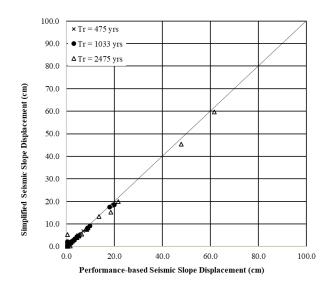
Simplified PB Lateral Spread

A similar simplified performance-based approach was developed using the Youd et al. (2002) lateral spread model. (Ekstrom and Franke 2016)



Simplified PB Newmark Seismic Slope Displacement

Lucy Astorga Hoch (GeoEngineers, WA) developed a simplified performance-based approach for Bray and Travasarou (2007) and Rathje and Saygili (2009)

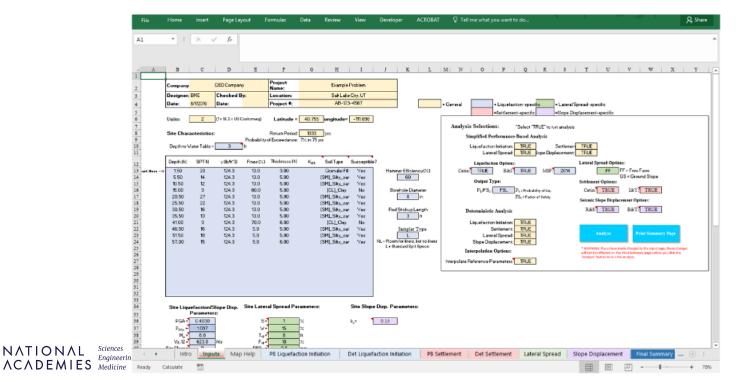




Tools to Perform Simplified PB Liquefaction Hazard Analysis

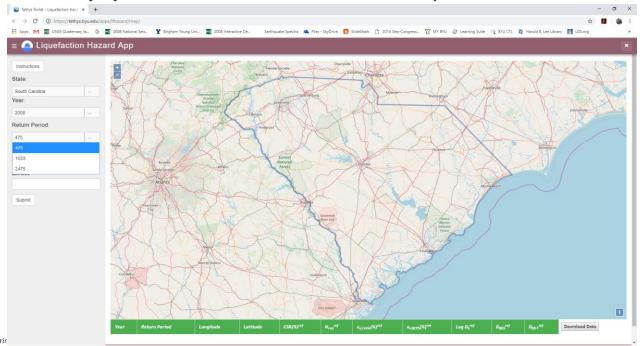
SPLIQ V1.43, released in July 2022

ΝΛΤΙΟΝΛΙ



Tools to Perform Simplified PB Liquefaction Hazard Analysis

Online Liquefaction Reference Parameter Database (currently being updated and populated for all 50 states in the US!)



ΝΛΤΙΟΝΛΙ

Overall Recommended Approach

For a given site, perform a (1) simplified performance-based liquefaction assessment, and a (2) deterministic liquefaction assessment. Use the LESSER HAZARD (i.e., higher FS₁, lower settlements or displacements) for design. Abandon use of the pseudo-probabilistic approach!



Conclusions

the entire IIC

- The conventional pseudo-probabilistic approach can overpredict liquefaction hazard in areas of low to moderate seismicity
 - Especially where the modal $M_w \ge 7.5$ and is located more than 100 km away from the site
- Performance-based approaches can solve the problem, but require special tools
- Simplified performance-based methods can give you the benefits of the PB approach with the convenience of the conventional approach
- Free tools have been developed and are already being used (Utah, South Carolina)
- Online database of liquefaction reference parameter ACADEMIES Online database of liquefaction reference parameter and CPT is currently being populated for

Related References

- Boulanger, R. W., and Idriss, I. M. (2012). "Probabilistic standard penetration test-based liquefaction-triggering procedure." J. Geotech. Geoenviron. Eng., 10.1061/(ASCE)GT.1943-5606.0000700, 1185–1195.
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- Franke, K.W. and Kramer, S.L. (2014). Procedure for the empirical evaluation of lateral spread displacement hazard curves. J. Geotech. Geoenvir. Eng., 140(1), 110-120.
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- Franke, K.W., Youd, T.L., Ekstrom, L.T., and He, J. (2017). Probabilistic Lateral Spread Evaluation for Long, Linear Infrastructure using Performance-Based Reference Parameter Maps. *Proc., Geo-Risk* 2017, ASCE, Paper No. 6, Denver, CO, June 2017
- Franke, Lingwall, Youd, Blonquist, and He. (2019). Overestimation of liquefaction hazard in areas of low to moderate seismicity due to improper characterization of probabilistic seismic loading. Soil Dyn. Earthquake Eng., 116, 681-691.
- Kramer, S.L., and Mayfield, R.T. (2007). Return period of soil liquefaction. J. Geotech. Geoenvir. Eng. 133(7), 802-813.
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- Youd, T.L., Hansen, C.M., and Bartlett, S.F. (2002). Revised multilinear regression equations for prediction of lateral spread Science Repain Engineering Placements. J. Geotech. Geoenvir. Eng., 128(12), 1007-1017.



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May 23, 2023















Liquefaction-Induced Pile Downdrag from Full-scale Blast Tests

Kyle Rollins Civil & Construction Engineering Brigham Young University



TRB Webinar: Innovation in Geoseismic Foundation Design and Performance - May 24, 2023

Research Sponsors

US National Science Foundation

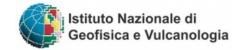


Rapid Grant CMMI-1408892

Caltrans/PEER





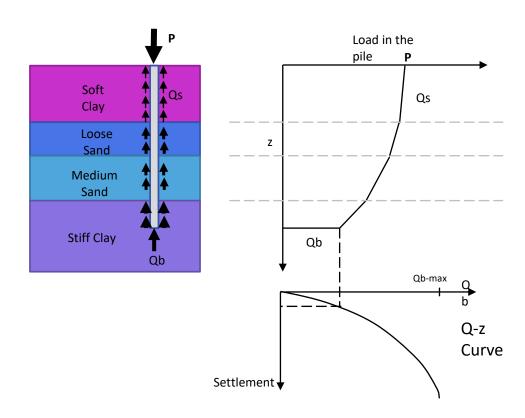


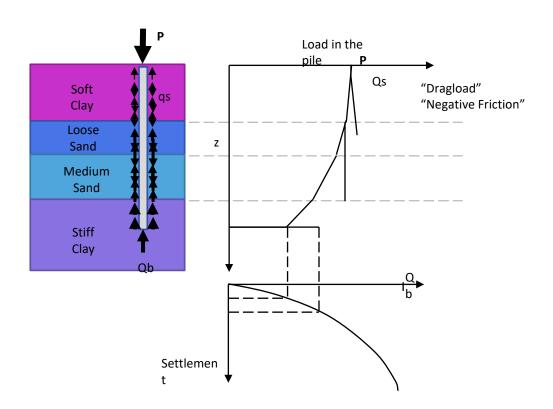


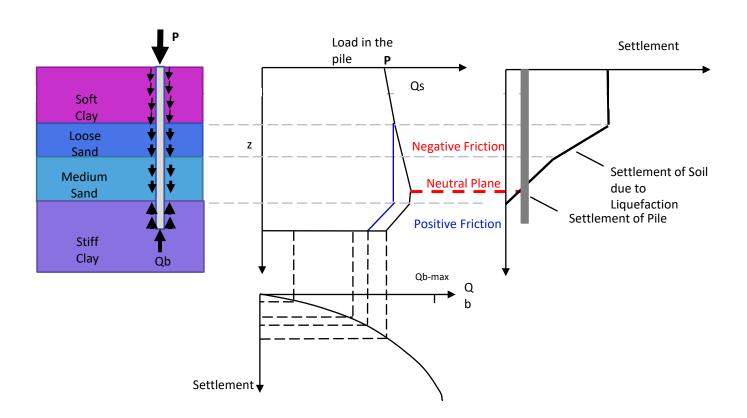






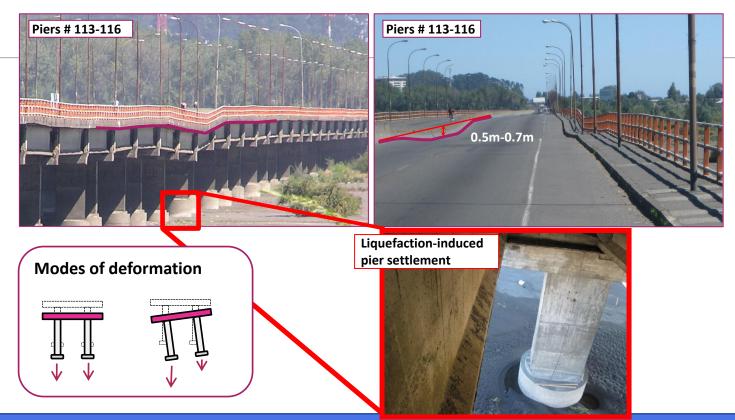






Juan Pablo II Bridge-Concepcion Chile

Liquefaction-induced pier settlements along bridge span



Resurrection River Bridge, Seward, AK

Pile Downdrag During M_w9.2 Alaska Earthquake

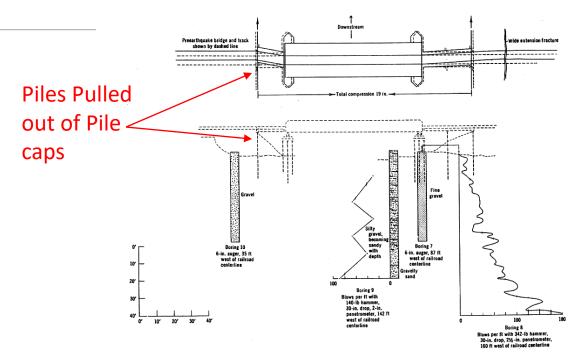


FIGURE 1 Construction, damage, and subsurface information, Bridge 3.3, Seward, Alaska (7) (1 lb = 4.45N, 1 in. = 0.0254m, 1 ft = 0.3048m).

Research Objectives

- Measure development of negative skin friction during liquefaction and reconsolidation
 - Determine skin friction in liquefied sand
 - Determine skin friction in non-liquefied soil
- Evaluate neutral plane concept to account for pile settlement

Full-Scale Blast Liquefaction Downdrag Tests

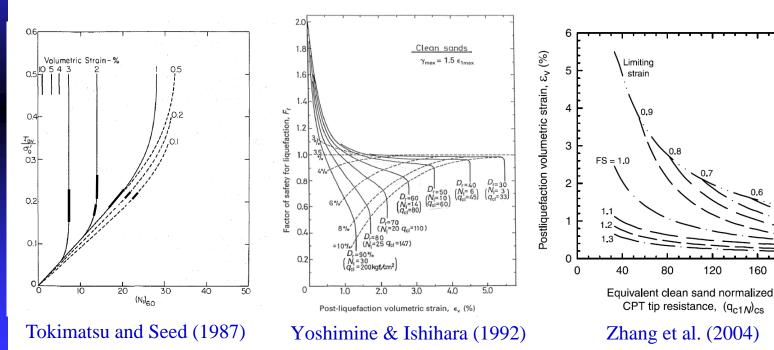
- □ Vancouver, British Columbia, Canada 2005
 - 32 cm Driven Steel Pipe Pile
- Christchurch, New Zealand 2013
 - 60 cm Augercast Piles
- ☐ Mirabello, Italy 2016 (with INGV)
 - 25 cm Micropile
- □ Turrell, Arkansas, USA 2017 (with Univ. of Arkansas)
 - 1.2 m Drilled Shafts and 45 cm Driven Piles
- ■Mirabello, Italy 2021 (with INGV)
 - 51.5 cm Tapered Single and Group Piles

17 Test Piles at 5 Sites!

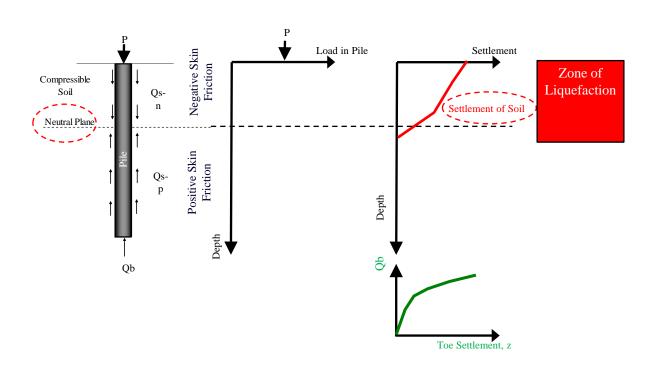
Design Procedure for Pile Downdrag

1. Determine settlement vs. depth profile and assume neutral plane location

200



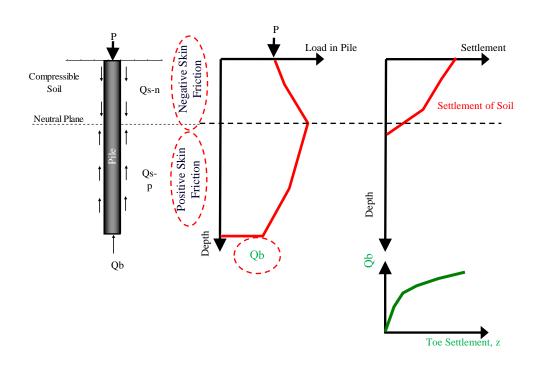
Load in Pile vs Depth with Downdrag



Design Procedure for Pile Downdrag

- 1. Determine settlement vs. depth profile and assume neutral plane location
- 2. Compute load distribution in pile
 - * Negative friction above neutral plane
 - Positive friction below neutral plane
 - **Use 50% of skin friction in liquefied layers**
 - Find required toe resistance, Q_b

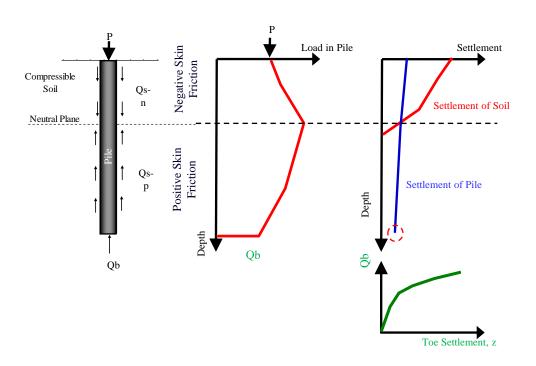
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 - ❖ Find required toe resistance, Q_b
- 3. Determine settlement at toe of pile

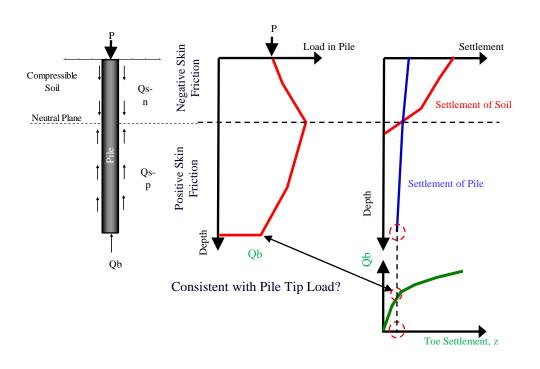
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- 3. Determine settlement at toe of pile
- 4. Use Q-z curve to determine if mobilized Q_b for toe settlement is equal to required, Q_b

Load in Pile vs Depth with Downdrag



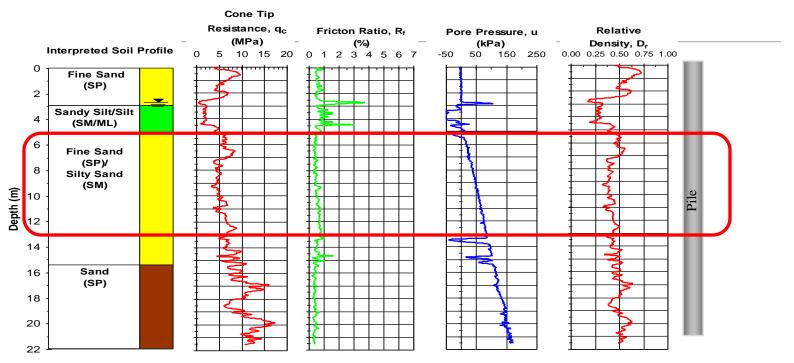
Design Procedure for Pile Downdrag

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 - ❖ Find required toe resistance, Q_b
- 3. Determine settlement at toe of pile
- 4. Use Q-z curve to determine if mobilized Q_b for toe settlement is equal to required, Q_b
- 5. Revise location of neutral plane and repeat the process until convergence

Vancouver Canada Test Site (Rollins & Spencer 2006)

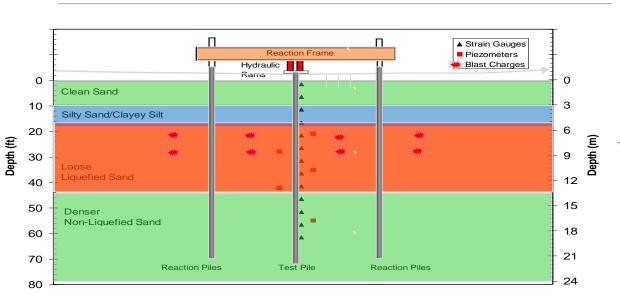


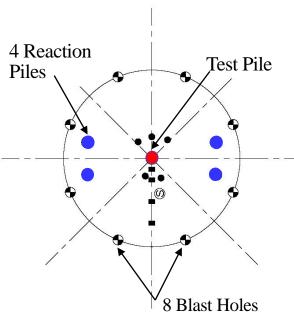
Geotechnical Soil Profile



Equivalent SPT $(N_1)_{60}$ is 10 in target zone and 17 near pile tip

Vancouver Downdrag Test Set-up



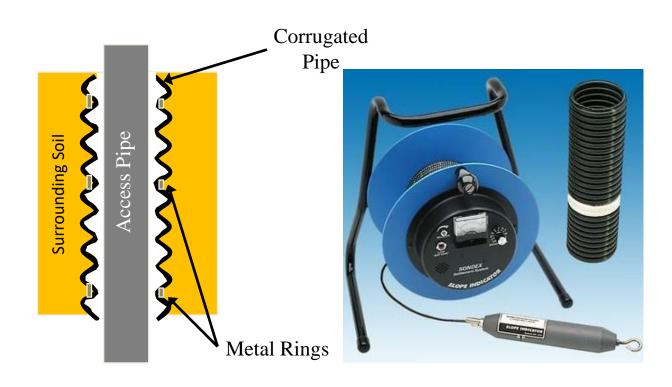


32 mm Driven Steel Pipe Pile

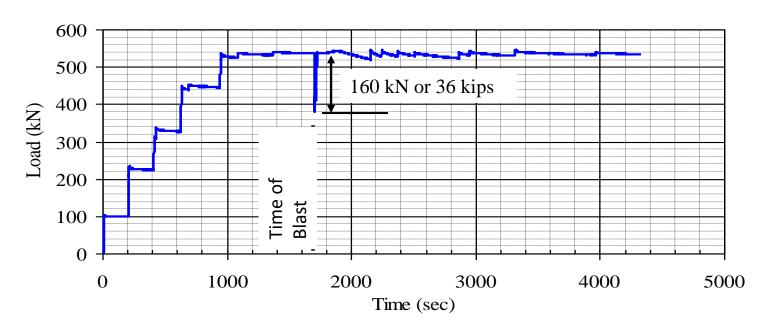
Elevation View

Plan View

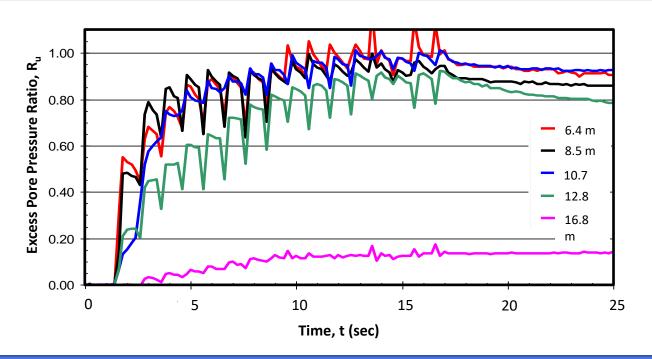
'Sondex' Settlement Monitoring vs. Depth



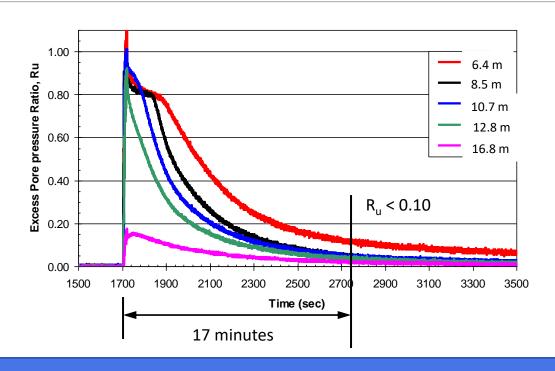
Re-loading Due to Pile Settlement



Pore Pressure Generation During Blasting



Pore Pressure Dissipation



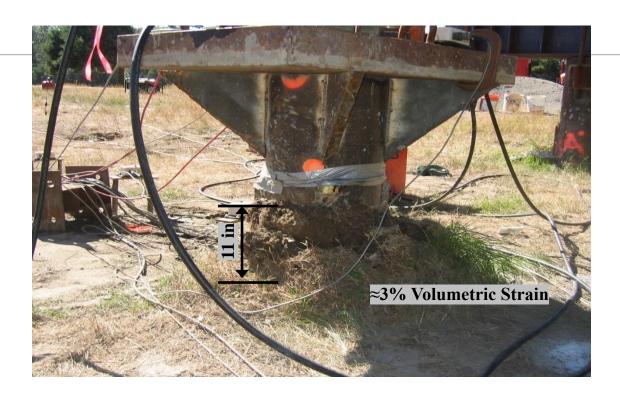
Ground Settlement Trough Around Test Pile



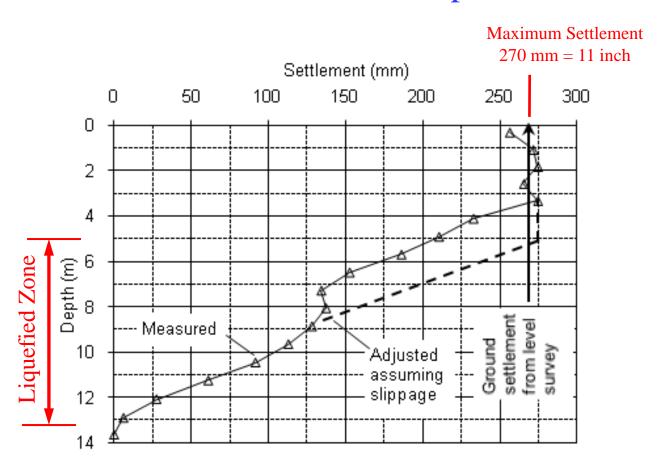
Before Liquefaction



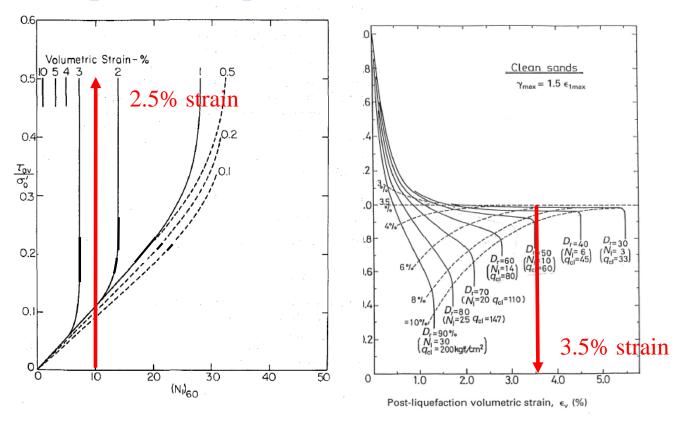
After Liquefaction



Settlement versus Depth

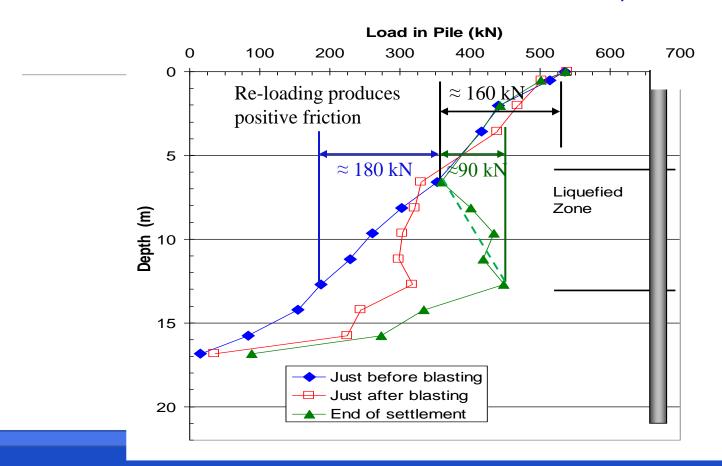


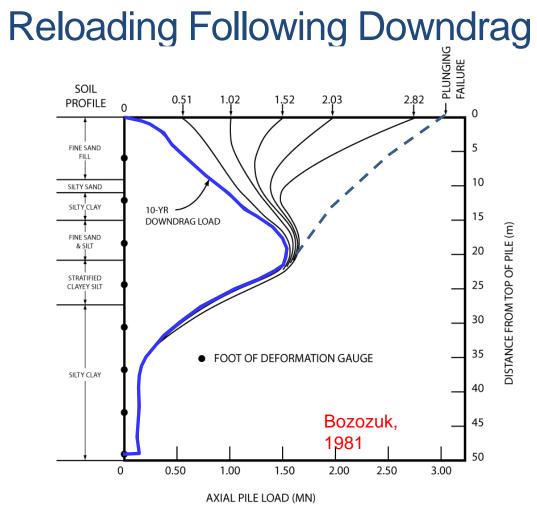
Expected Liquefaction Settlement

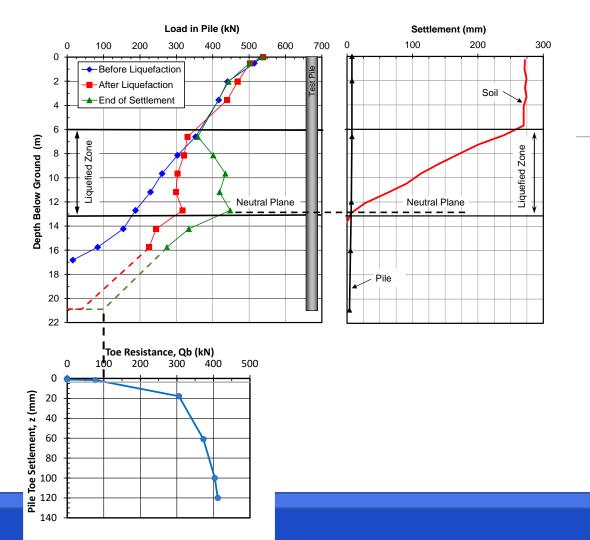


Tokimatsu and Seed (1987) Yoshimine & Ishihara (1992)

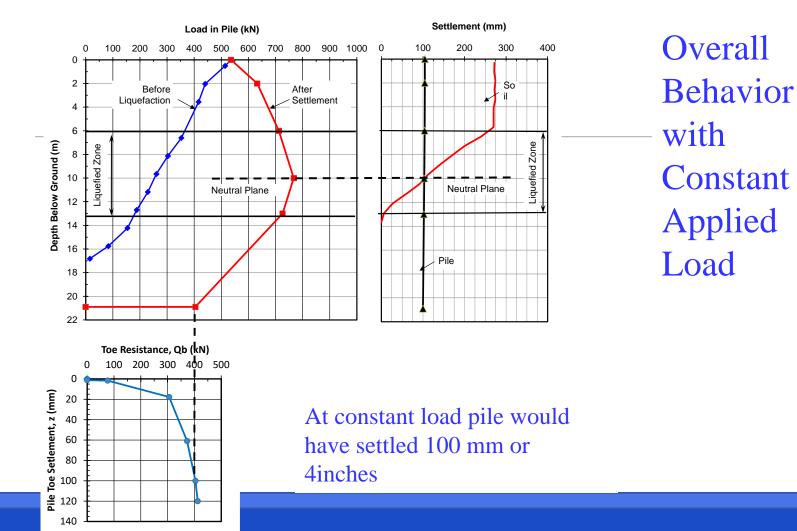
Side Shear Transfer Before and After Liquefaction





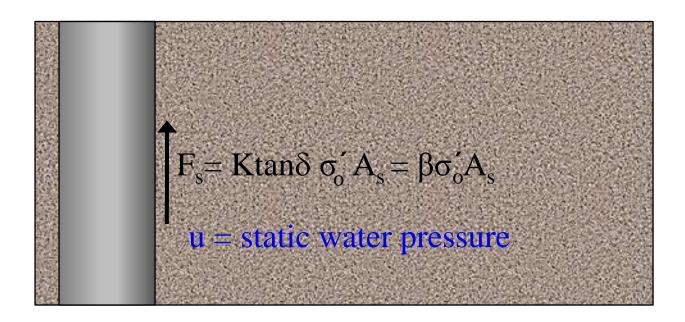


Overall
Behavior
from
Field
Load Test



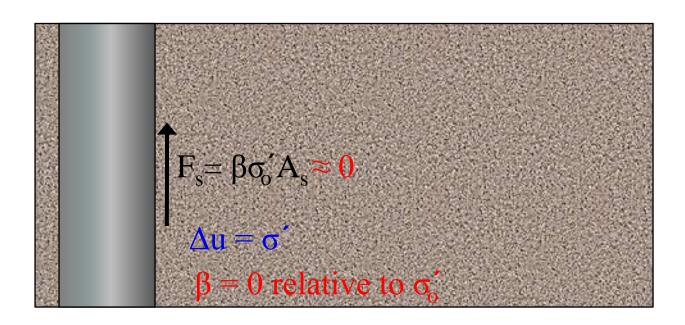
Conceptual View of Behavior

Static Loading Before Liquefaction



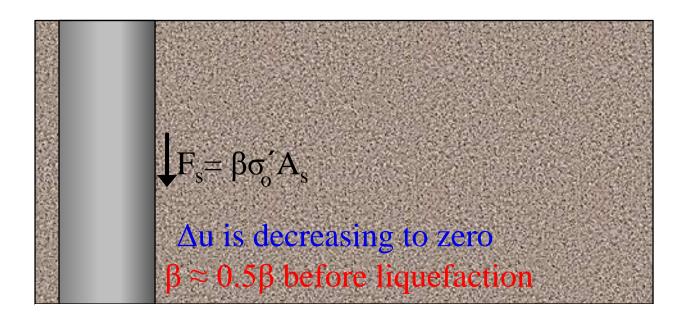
Conceptual View of Behavior

Immediately After Liquefaction

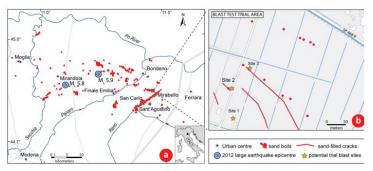


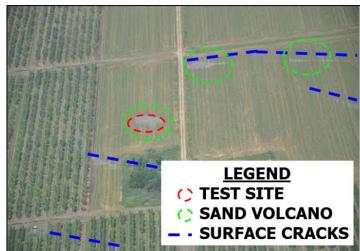
Conceptual View of Behavior

During Reconsolidation



Test Site – Mirabello, Italy







Tapered Piles for Mirabello Site









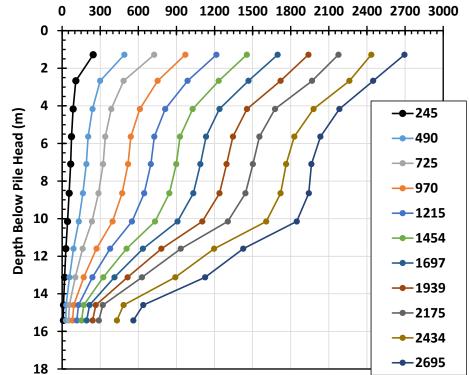
16 Hollow Tapered Piles

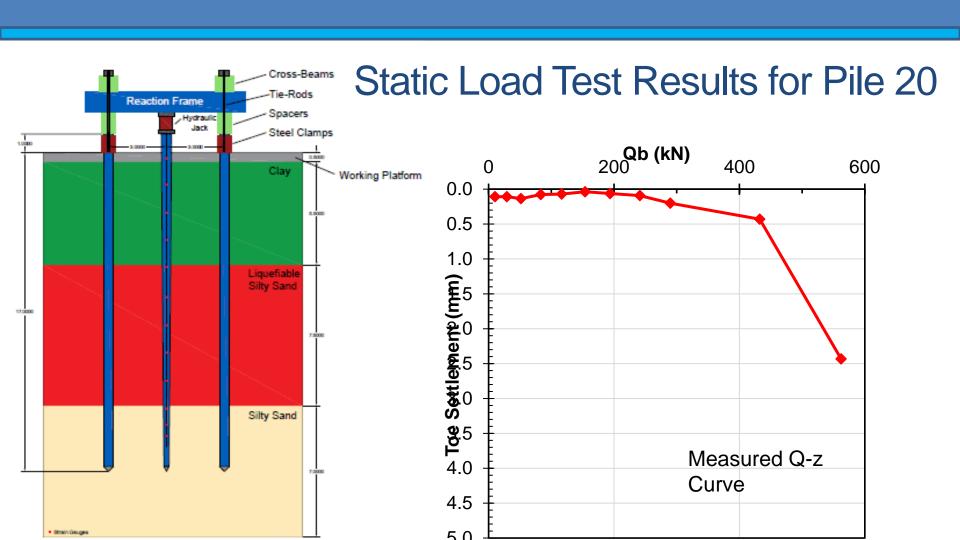
7 Strain gauged Piles

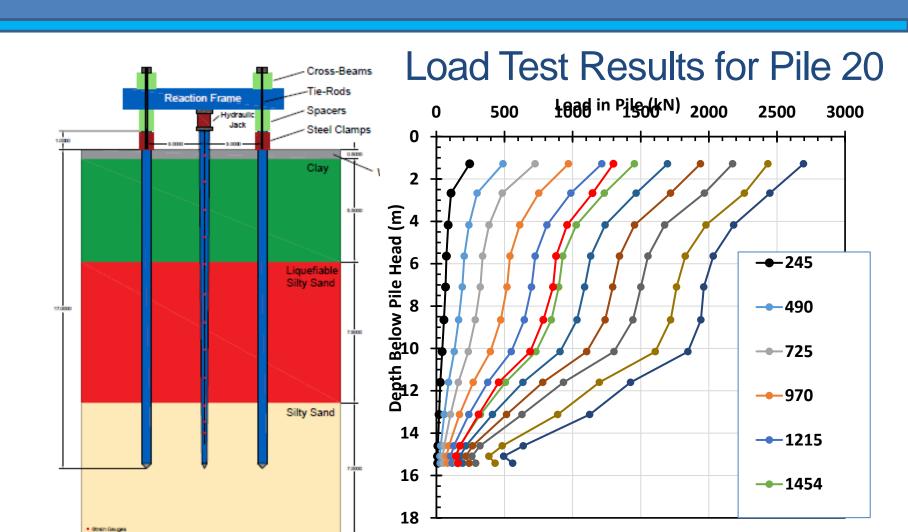
Cross-Beams Reaction Frame Spacers Steel Clamps Gge fon d 12 ioni Strain Gauges

Load Test Results for Pile 20

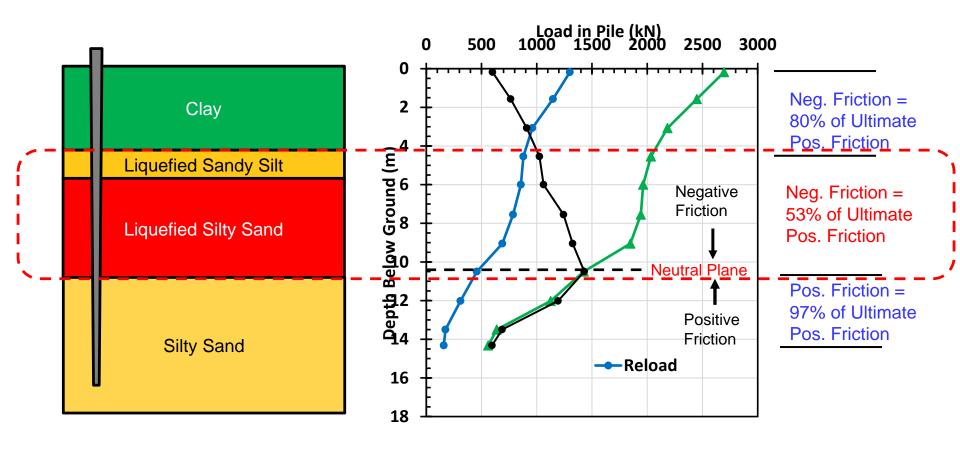




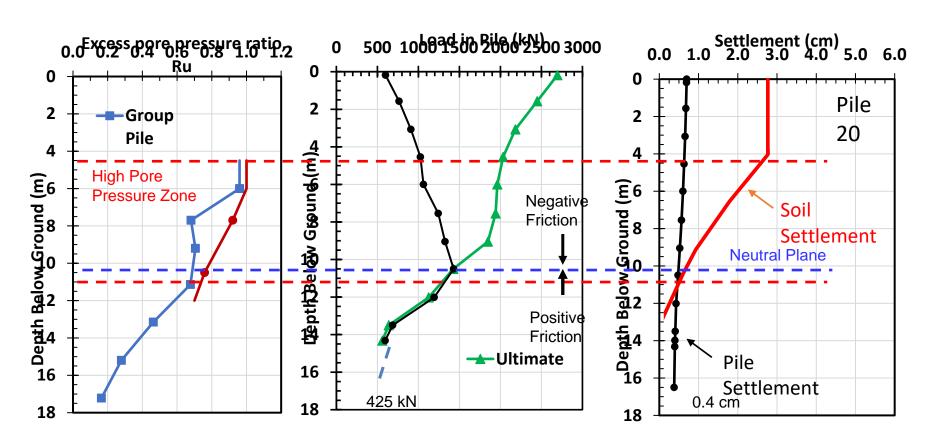


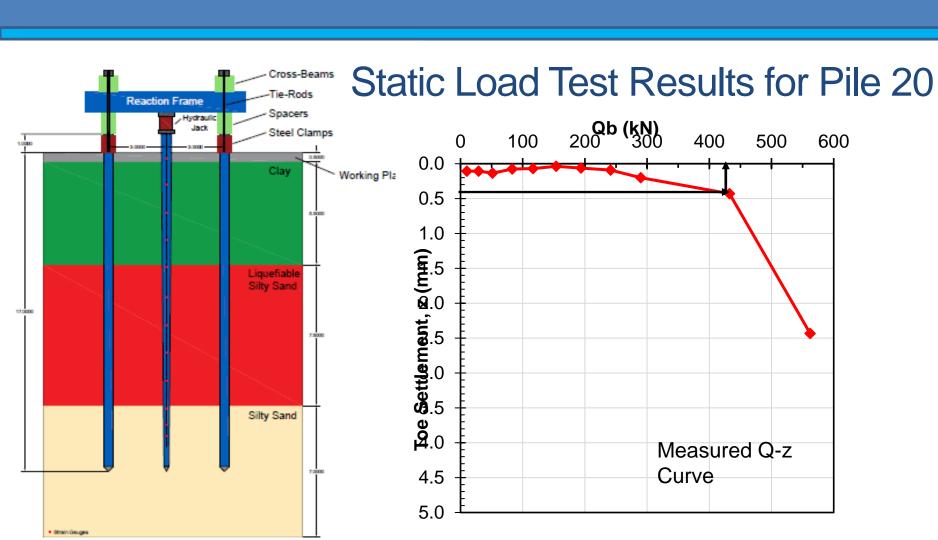


Blast Test Results for Pile 20

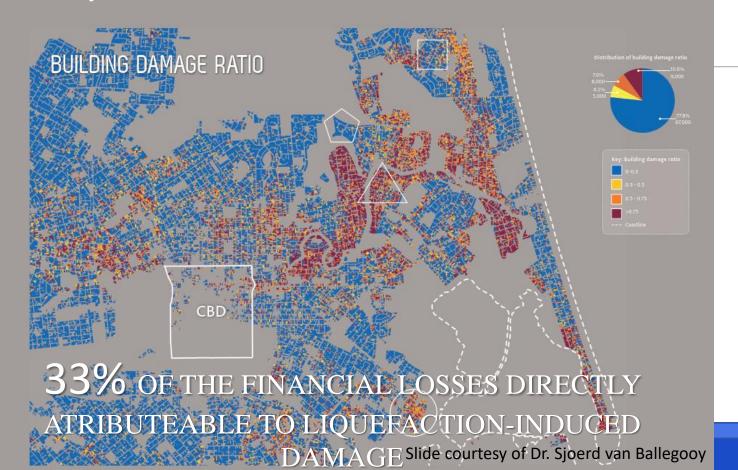


Blast Test Results for Pile 20

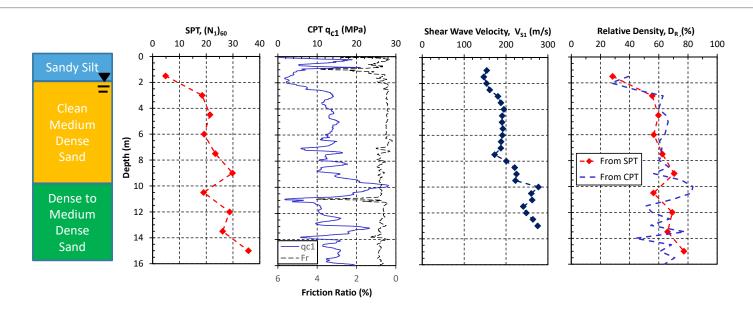




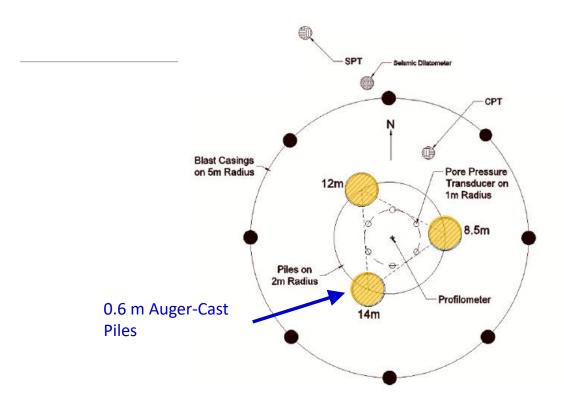
NZ\$40B ECONOMIC IMPACT



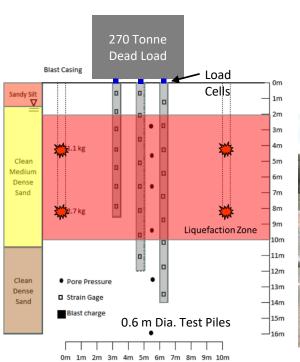
Geotechnical Profiles at Site 4 Christchurch



Christchurch Test Pile Layout



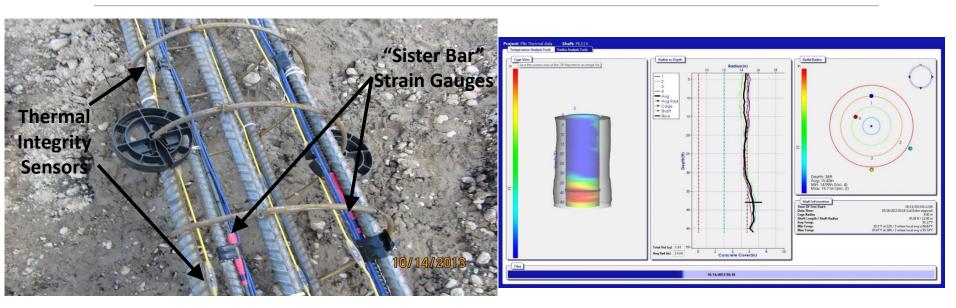
NZ Blast Liquefaction Induced Downdrag Tests



270 Tonne Load on

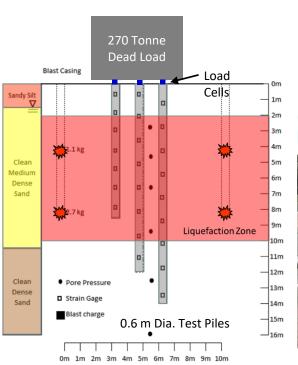


Auger Cast Pile Instrumentation



In reality, piles were 0.7 to 0.75 m in diameter not 0.6 m as designed

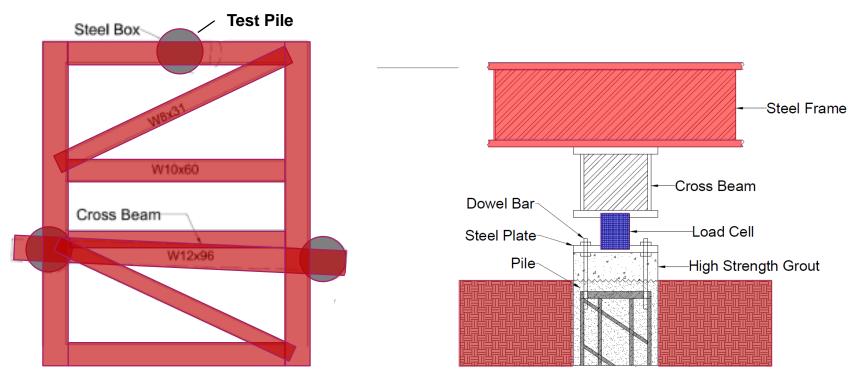
NZ Blast Liquefaction Induced Downdrag Tests



270 Tonne Load on



Support Frame and Load Cell for each Pile



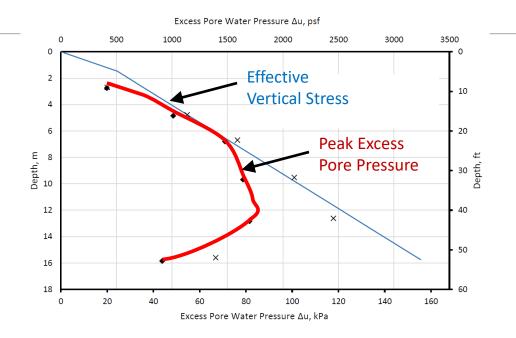
Plan View – Support Frame

Elevation View – Load Cell for each pile



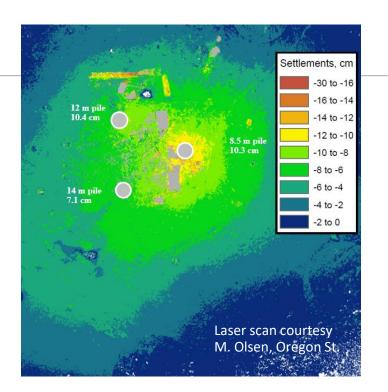
Test with Load on Piles

Excess Pore Pressure Ratio

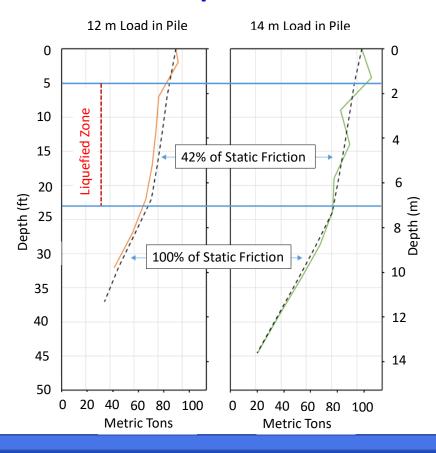


Test with Load on Piles

- Piles Settled 3 to 5 inches
- More Than the Surrounding Soil

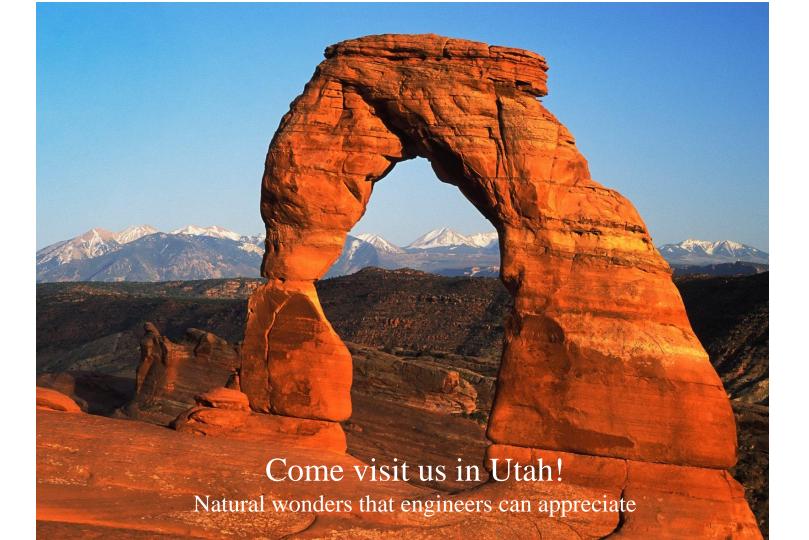


Load in Pile vs. Depth - After Settlement



Conclusions Based on Single Pile Testing

- ❖ In non-liquefied soils, negative friction was equal to positive friction
- ❖ In liquefied soils, negative & positive skin friction after liquefaction & reconsolidation was 43 to 55% of ultimate skin friction before liquefaction
- ❖ These results are consistent for available tests (17 piles) and suggest that this may be a typical result
- ❖ Depth to neutral plane increased (and pile settlement decreased) as pile length increased
- Pile head settlement generally consistent with neutral plane concept.
- *Reloading redevelops positive friction from top down





Design of pile foundations subjected to superstructure inertial loads and liquefaction-induced lateral ground deformations

Arash Khosravifar, PhD, PE (CA), Associate Professor, Portland State University

TRB Webinar: Innovation in Geoseismic Foundation Design and Performance

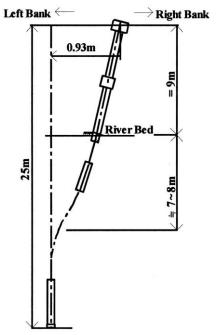
May 23, 2023







Showa Bridge: 1964 Niigata earthquake



Damage to steel piles of Pier 4 of Showa Bridge (PWRI; from Yasuda & Berrill 2001)

NISEE

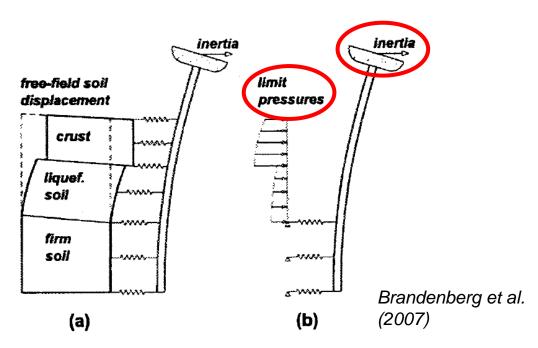
> 1983 Nihon-Kai-Chubu earthquake: approach embankment failed due to lateral spreading but piles survived without damage (Finn 1999)





Problem Statement

- How to combine inertia (I) and lateral spreading kinematic (K) loads in design
- No consensus in design codes (next slide)
- Effects of ground motion duration and soil profile on I&K factors



Design Guidelines



- ➤ No consensus in design codes on how to combine inertial and kinematic loads on piles
- ➤ Load combination are site- and project-specific

Design Code	Applicability			
MCEER/ATC (2003) (ST)	Peak inertia is likely to occur early in the ground motion. Design			
	piles for independent effects of inertia and lateral spreading.			
	For large magnitude and long-duration earthquakes the two loads			
	may interact.			
AASHTO (2020) (ST)	Simultaneous inertial and lateral spreading loads only for large			
	magnitude earthquakes (M>8)			
PEER (2011) (ST)	100% kinematic + (65% to 85%) inertial (multiplied by 0.35 to 1.4			
LER (2011) (01)	to account for the effects of liquefaction on peak inertial load)			
Caltrans (2012), ODOT (2014),	100% kinematic + 50% inertial			
	100 % Killematic + 30 % illertial			
Supplement to Canadian				
Highway Bridge Design Code				
S6-14 (ST)	4000/ him and the OFO/ in antial /if M. 7.5 and talk OOO/ of			
WSDOT (2021) (ST)	100% kinematic + 25% inertial (if M>7.5 contrib. >20% of			
	hazard)			
ASCE 61-14 (2014) Section C4.7	Locations of max moment from inertial and lateral ground			
and Port of Long Beach Wharf	deformation are spaced far enough apart that the two loads do			
Design Criteria (POLB 2015)	not need to be superimposed. Max moments occur at different			
(MT)	times. The two loads should be treated uncoupled for marginal			
MT: Maritime T	wharves ransportation ST: Surface Transportation			

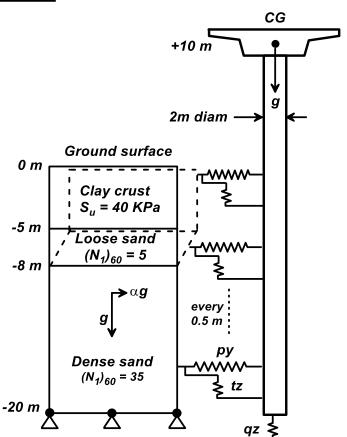
Port of Anchorage Modernization Combine peak inertial with 100% peak kinematic demands from



Nonlinear Dynamic Analysis (NDA)

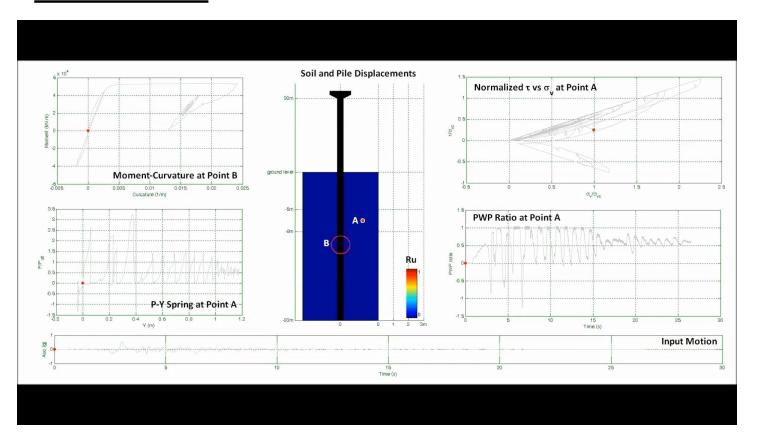
FE model

- OpenSees FE framework
- 2D effective-stress analysis
- Soil elements (PDMY and PIMY)
- Pile elements (nonlinear)
- Interface elements (PYSimple and PYLiq)





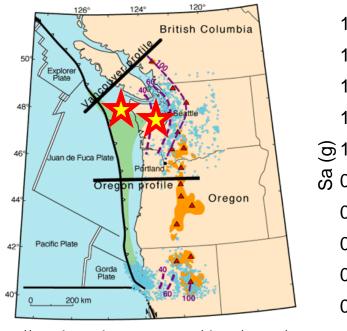
NDA Animation



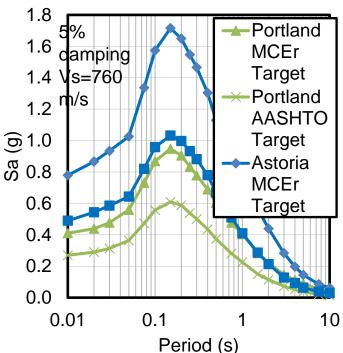


Site-Specific Ground Motions

- Ground motions developed for two sites in Oregon
- Contributions from shallow crustal faults and Cascadia Subduction Zone

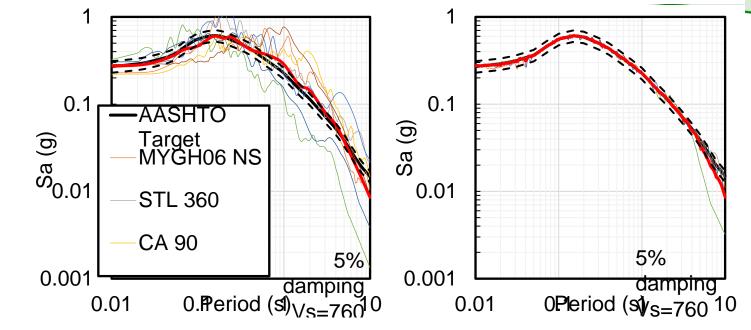


http://earthquake.usgs.gov/data/crust/cascadia.php



Ground Motion Selection, Scaling and Matching (Portland)

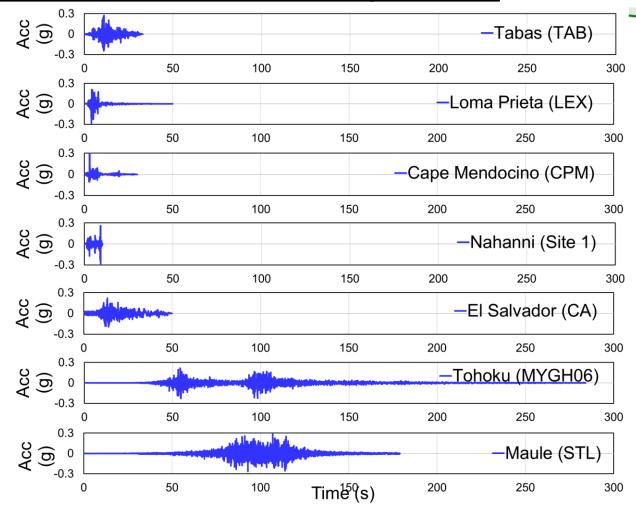




Event	Mag.	Dist. (km)	Vs30 (m/s)	Duration D ₅₋₉₅ (sec	Seed PGA (g)
2011 Tohoku (MYGH06)	9	63.8	593	85.5	0.27
2010 Maule (STL)	8.8	64.9	1411	40.7	0.24
2001 El Salv. (CA)	7.7	151.8**	Rock	27.2	0.1
1978 Tabas (T1)	7.35	2.05	767	16.5	0.87
1985 Nahanni (Site 1)	6.76	9.6	605	7.5	1.25
1992 Cape Men. (CPM)	7.01	6.96	568	9.7	1.51
1989 Loma Prieta (LEX)	6.93	5.02	1070	4.3	0.41

Ground Motion Selection & Scaling (Portland)

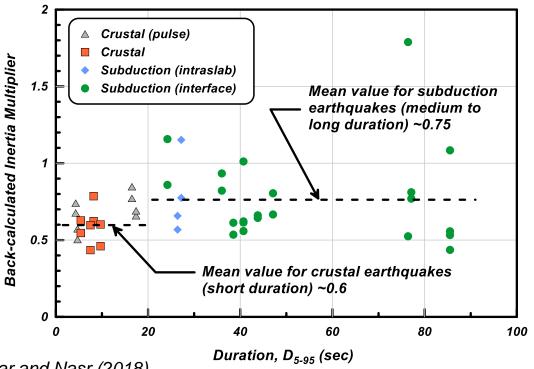






Effect of Long-duration motions on I+K

- ➤ 100% Kinematic + 60% Inertial (crustal earthquakes with short duration)
- ➤ 100% Kinematic + <u>75%</u> Inertial (subduction earthquakes with medium to long duration)

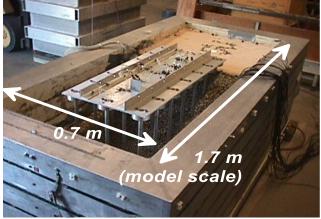




Lessons learned from pile-supported wharves

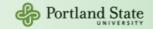
➤ Five (5) centrifuge tests by Dickenson, McCullough, and Schlechter from OSU (tests conducted at UC Davis)



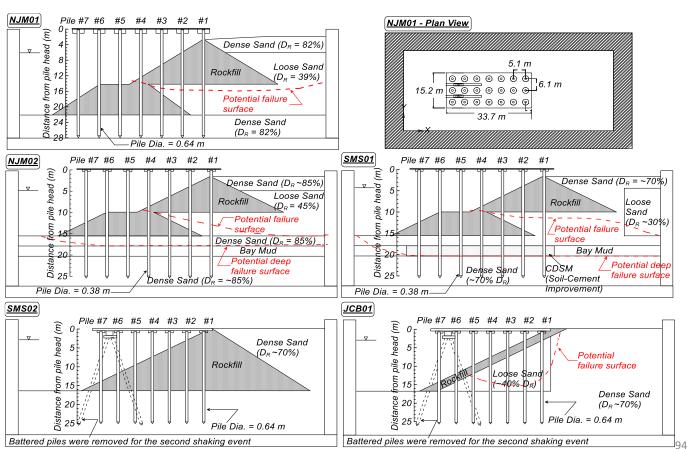


Source: Center for Geotechnical Modeling at UC Davis

Centrifuge Test Layouts

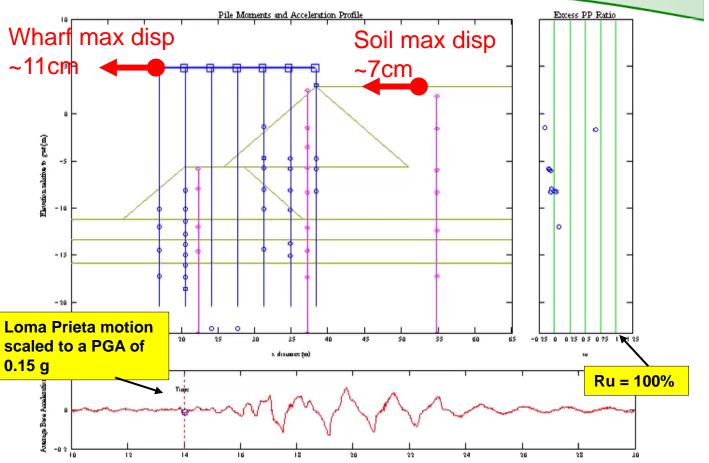


- > 3-by-7 pile group
- > 0.4 to 0.6 meter piles representative of concrete piles in prototype scale



Representative Results (Animation)



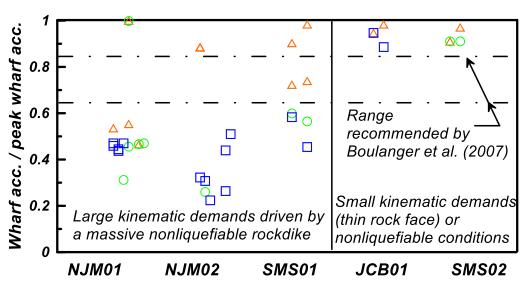


(Courtesy of Schlechter, McCullough and Dickenson)



Inertial and Kinematic Interaction Factors

- 100% Kinematic + X% Inertia
- Dependent on soil profile
- Dependent on depth (larger when M is max at pile head and smaller for in-ground M)



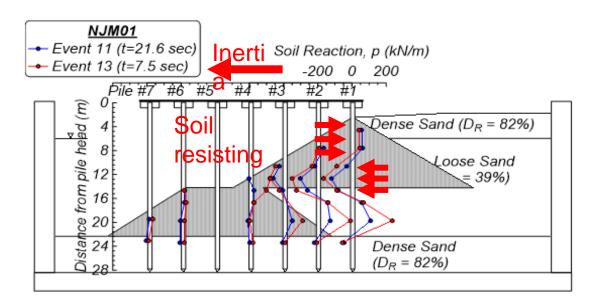
Note: At the time of maximum bending moments

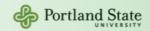
- △ Pile Head
- Shallow (<10D)
- □ Deep (>10D)



Estimating Kinematic Demands

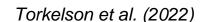
- ➤ Uniformly downslope ultimate soil pressure does not capture soil reactions for relatively flexible piles (0.64-m dia).
- Disp-base approach is recommended (i.e. apply pile-restrained soil disp. to end nodes of p-y springs)

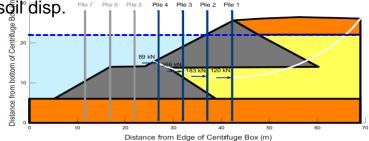


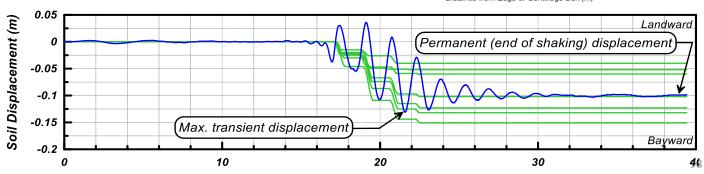


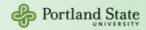
Estimating Kinematic Demands

- Newmark sliding block analysis to get <u>pile-restrained</u> soil disp. (Caltrans 2012)
- Lateral pile analysis (LPILE) and Limit Equilibrium analysis (SlopeW)
- Sr compatible with weighted approach by Kramer (2008)
- Median Newmark displacements
 - ✓ reasonably estimated permanent soil disp,
 - underestimated max transient soil disp.



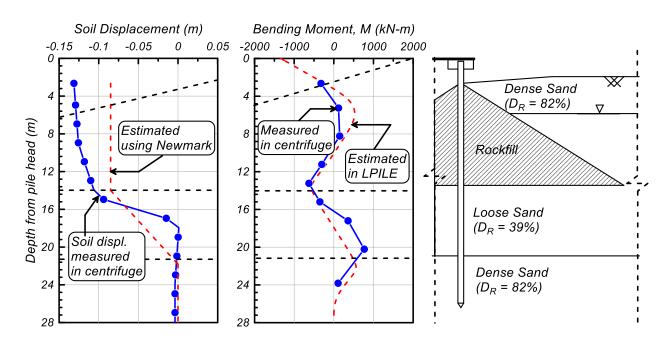






Estimating Soil Displacements with Depth

- ➤ Develop <u>idealized soil disp</u>. profile (e.g., Armstrong et al. 2014)
- > P-y springs tapered over 1D at layer boundaries per 2011 PEER report
- Median Newmark disp. <u>underestimated</u> max transient disp.
- ➤ Idealized soil disp. <u>overestimated</u> pile curvature at layer boundaries
- > Design recommendations: Use median Newmark values + idealized disp. profile





Design Recommendations

(Case) Load combination	Portion of permanent soil displacements applied at end nodes of p-y springs ¹	Portion of peak deck inertial force applied at deck ²	Applicability
(A) Inertia only	NA	100%	Adequate to estimate bending moments at pile head.
(B1) Combined kinematic and inertial demands- Profile B1 ³	100%	$0.3 \text{ to } 0.6^{\circ}$	Suitable to estimate bending moments below grade down to depth of 10D.
(B2) Combined kinematic and inertial demands- Profile B2 ⁴	100%	0.9 to 1.0 ⁵	Suitable to estimate bending moments below grade down to depth of 10D.
(C) Kinematic only	100%	NA	Adequate to estimate pile bending moments deeper than 10D.

Profile B1: <u>deep-seated liquefaction</u> <u>underlying significant nonliquefiable</u> <u>crust</u> (i.e. rockfill).

NJM01 Pile #7 #6 #5 #4 #3 #2 #1

Dense Sand (D_R = 82%)

Rockfill Loose Sand (D_R = 39%)

Potential failure surface

Dense Sand (D_R = 82%)

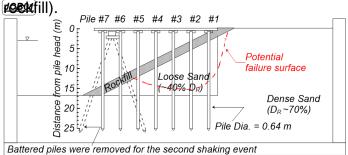
Potential failure surface

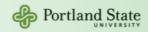
Dense Sand (D_R = 82%)

Potential failure surface

Dense Sand (D_R = 82%)

Profile B2: generally smaller kinematic loads associated with either nonliquefiable profile or weak/softened soils closer to the ground surface, and thin nonliquefiable crust (i.e. sliver





Conclusions

- ☐ Interaction of I+K is soil profile dependent
 - ➤ Bending moments at shallow locations (<10D) can be reasonably estimated by combining kinematic demands with a portion of peak deck inertial load ranging from **0.3 to 0.6** for deep-seated liquefaction profiles and thick nonliquefiable crusts (Profile B1) and **0.9 to 1.0** for weak/softened soils close to ground surface and thin nonliquefiable layer (Profile B2)
 - ➢ Bending moments adjacent to the pile head can be reasonably estimated by applying 100% inertia only, while bending moments at deep locations (>10D) can be reasonably estimated by applying the kinematic demands only
- ☐ Interaction of I+K increases with ground motion duration
 - ➤ 15% increase for subduction earthquakes compared to shallow crustal earthquakes
 - Approach similar to WSDOT (2021) based on hazard contributions may be reasonable



Conclusions

- ☐ The wide range of inertial multipliers observed in this research highlights the benefit of performing coupled nonlinear dynamic analysis that captures complex soil-pile-structure interaction for varying soil profiles.
 - For example, POA (2017) peer-reviewed 2D nonlinear numerical analysis
- ☐ The load combination factors proposed here are appropriate for pseudo-static analysis using the p-y spring approach and are not necessarily appropriate for use with the simplified equivalent fluid pressure for lateral spreading load.
- ☐ It is recommended that the median displacements computed using Newmarktype analysis be applied in combination with an idealized soil displacement profile with distinct transitions.



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- ➤ Deep Foundations Institute (DFI)
- ➤ National Science Foundation (NSF)
- ➤ Portland State University (PSU)

Thank you Questions?







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Kyle Rollins rollinsk@byu.edu



NATIONAL Sciences Engineering Medicine

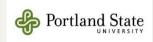


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