

# Neuroscience Trials of the Future

What Psychiatry and Neuroscience could learn from the  
Rest of Medicine

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*Institute of Psychiatry, Psychology and Neuroscience*

*King's College London*

# Disclosures

- Consultant and/or Speaker for
  - *Otsuka, Sunovion, Takeda, Acadia, Lundbeck, Roche.*
- Funding
  - UK, MRC & NIHR
  - EU, Innovative Medicines Initiative

# Outline ..

- A challenge common to all of medicine
- What we have done in the past and why it may not have worked
- What can we learn from success elsewhere
- What implications it has for our science and our trials

# Toward Precision Medicine

Building a Knowledge Network for Biomedical Research  
and a New Taxonomy of Disease



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OF THE NATIONAL ACADEMIES

THE WALL STREET  
JOURNAL  
MARKET

SCIENCE

New Era of  
Targeting Drugs  
For Each Unique  
Genetic Profile

By ROSS H. LANGRISH

Medicine

*Genetic Profile*

BY WALTER  
ALDHOLZ

NATIONAL

will launch a two-year, \$45 million pro-  
gram to identify several hundred thousand chemical sign-  
atures. Gene hunters explore the vast regions of

discussed last month in The Wall Street Journal,  
their gene-hunting partners in university

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# Identifying biologically valid homogenous illness groups

The support of human genetic evidence for approved drug indications

Matthew R Nelson<sup>1</sup>, Hannah Tipney<sup>2</sup>, Jeffery L Painter<sup>1</sup>, Judong Shen<sup>1</sup>, Paola Nicoletti<sup>3</sup>, Yufeng Shen<sup>3,4</sup>, Aris Floratos<sup>3,4</sup>, Pak Chung Sham<sup>5,6</sup>, Mulin Jun Li<sup>6,7</sup>, Junwen Wang<sup>6,7</sup>, Lon R Cardon<sup>8</sup>, John C Whittaker<sup>2</sup>, Philippe Sanseau<sup>2</sup>

nature  
genetics

VOLUME 47 | NUMBER 8 | AUGUST 2015

Lessons learned from the fate of AstraZeneca's drug pipeline: a five-dimensional framework

*David Cook, Dearg Brown, Robert Alexander, Ruth March, Paul Morgan, Gemma Satterthwaite and Menelas N. Pangalos*

NATURE REVIEWS | DRUG DISCOVERY

VOLUME 13 | JUNE 2014 | 419

PERSPECTIVES

Article types Summary 20 per page Sort by Most Recent

Send to: Filters: Manage Filters

- Clinical Trial
- Review
- Customize ...

Search results

Items: 1 to 20 of 558123

<< First < Prev Page 1 of 27907 Next > Last >>

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Try the new Display Settings option - Sort by Relevance

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- Abstract
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- Full text

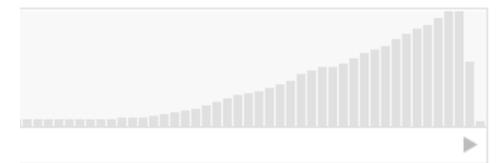
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- Species
- Humans
- Other Animals



Filters activated: published in the last 10 years.

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### Articles with your search terms

- atomical and functional brain imaging in adult attention-defic [Eur Arch Psychiatry Clin Neuro...]
- unctional brain imaging in obsessive-compulsive disorder sec [Depress Anxiety. 1999]

**While you will be listening to me ... two new articles will appear!**

5. Ehrminger M, Latimier A, Pyatigorskaya N, Garcia-Lorenzo D, Leu-Semenescu S, Vidailhet M, Lehericy S, Arnulf I. Brain. 2016 Feb 26. pii: aww006. [Epub ahead of print] PMID: 26920675

Find items

Search details

# Some recent efforts at “precision” in Psychiatric Neuroscience Trials

- Iloperidone (now Fanapt™)
  - Weight gain predicting polymorphisms
- mGluR II/III agonist (Pomeglumetad™)
  - Response predicting 5-HT<sub>2</sub> polymorphism
- GlyT-1 Inhibitor (Bitopertin™)
  - Response predicting serum CF-HR1

Volpi et al, J Clin Psychiatry, 2009

Liu et al, Pharmacogenomics, 2012

Bugarski-Kirola, poster, ACNP, 2015



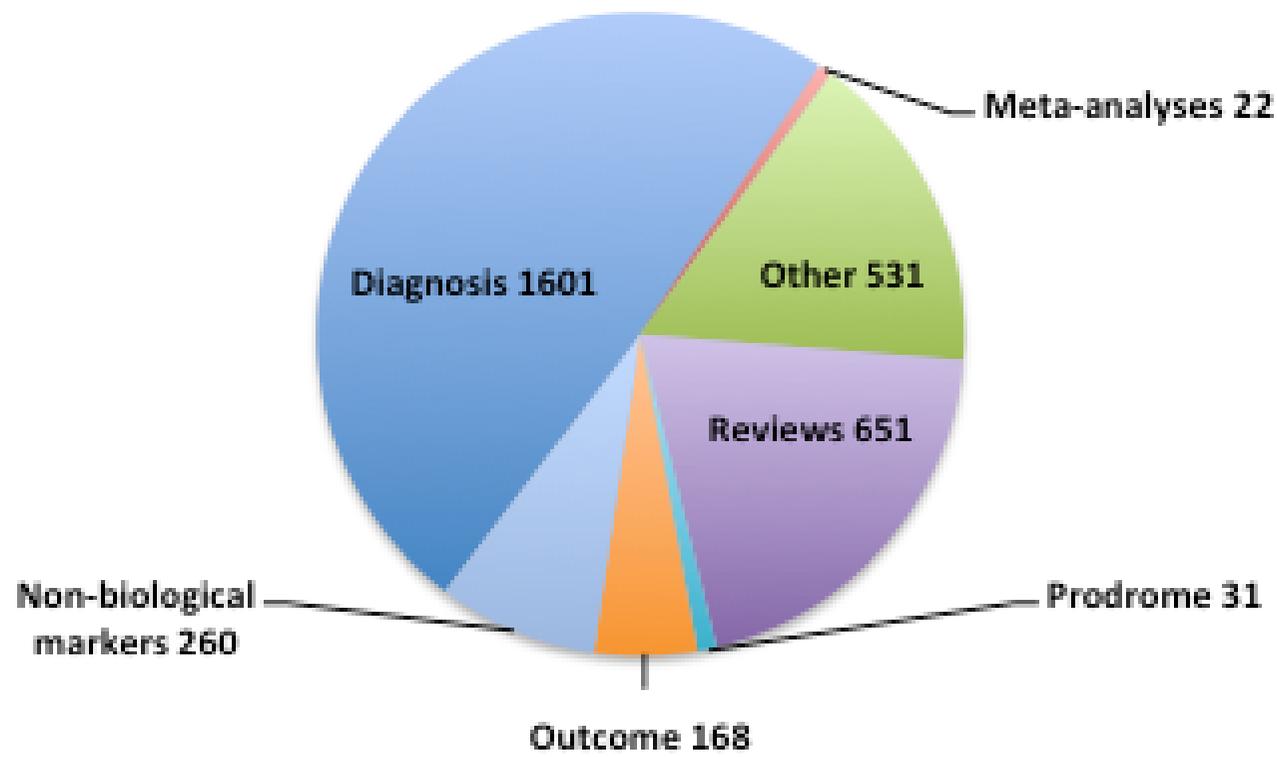
Review

## Clinically meaningful biomarkers for psychosis: A systematic and quantitative review

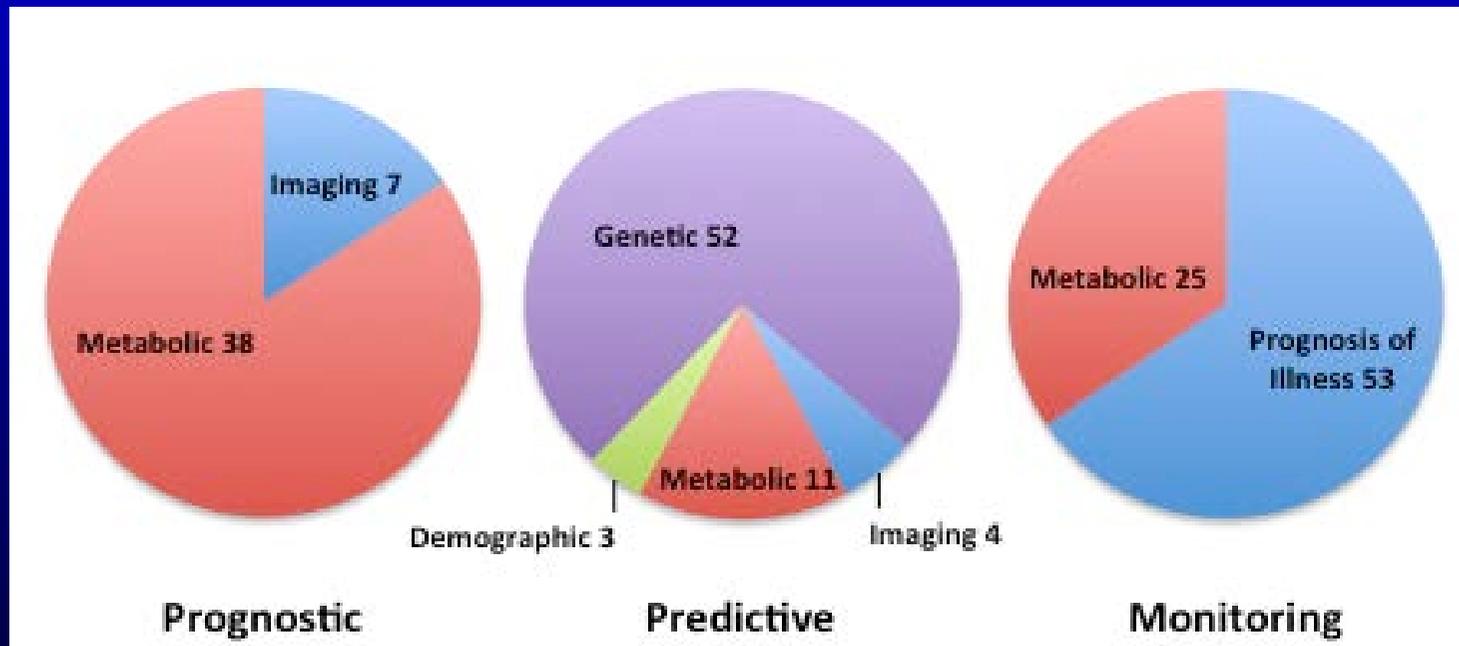
Diana Prata  , Andrea Mechelli, Shitij Kapur

- Systematic PubMed Search for “biomarkers” and “psychosis”
- Each article classified by marker and intent
- Scoring system developed to identify the best replicated, potentially clinically significant markers

> 3,200 articles



# Potentially useful markers in Neuroscience Clinical Trials



# Ranking potential "Trial" Biomarkers

Biomarkers (N)		Quality of Evidence (QoE)						Effect Size						
Criteria		Score	-	1	2	3	4	Score	-	1	2	3	4	
		Positive result	✓	✓	✓	✓	✓	QoE ≤ 1	✓					
		Controlled study		✓	✓	✓	✓	Marginal		✓				
		A priori definition			✓	✓	✓	Small				✓		
		Adequate power				✓	✓	Medium					✓	
		(+ 1) study of QoE ≥ 3					✓	Large						✓
Prediction of treatment response	Genetic (236)	70	165	0	1	0	235	0	0	0	0	1		
	Metabolic (10)	4	6	0	0	0	10	0	0	0	0	0		
	Imaging (7)	6	1	0	0	0	7	0	0	0	0	0		
	Demographic (4)	1	3	0	0	0	4	0	0	0	0	0		
	<b>Total (257)</b>	<b>81</b>	<b>175</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>256</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>		
Monitoring of treatment response	Metabolic (92)	73	19	0	0	0	92	0	0	0	0	0		
	Imaging (4)	3	1	0	0	0	4	0	0	0	0	0		
	<b>Total (96)</b>	<b>76</b>	<b>20</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>96</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>		

# The biomarker in psychosis

- HLA-DQB1 6672 G>C SNP predicts agranulocytosis from Clozapine
  - Odds Ratio of 16.8
  - Specificity of 99.7%
- But,
  - Sensitivity of 21%
  - So does not change clinical care

# Lessons Learnt

Significant Publication Bias

ONLINE FIRST

# Excess Significance Bias in the Literature on Brain Volume Abnormalities

John P. A. Ioannidis, MD, DSc

**Conclusion:** There are too many studies with statistically significant results in the literature on brain volume abnormalities. This pattern suggests strong biases in the literature, with selective outcome reporting and selective analyses reporting being possible explanations.

*Arch Gen Psychiatry.*

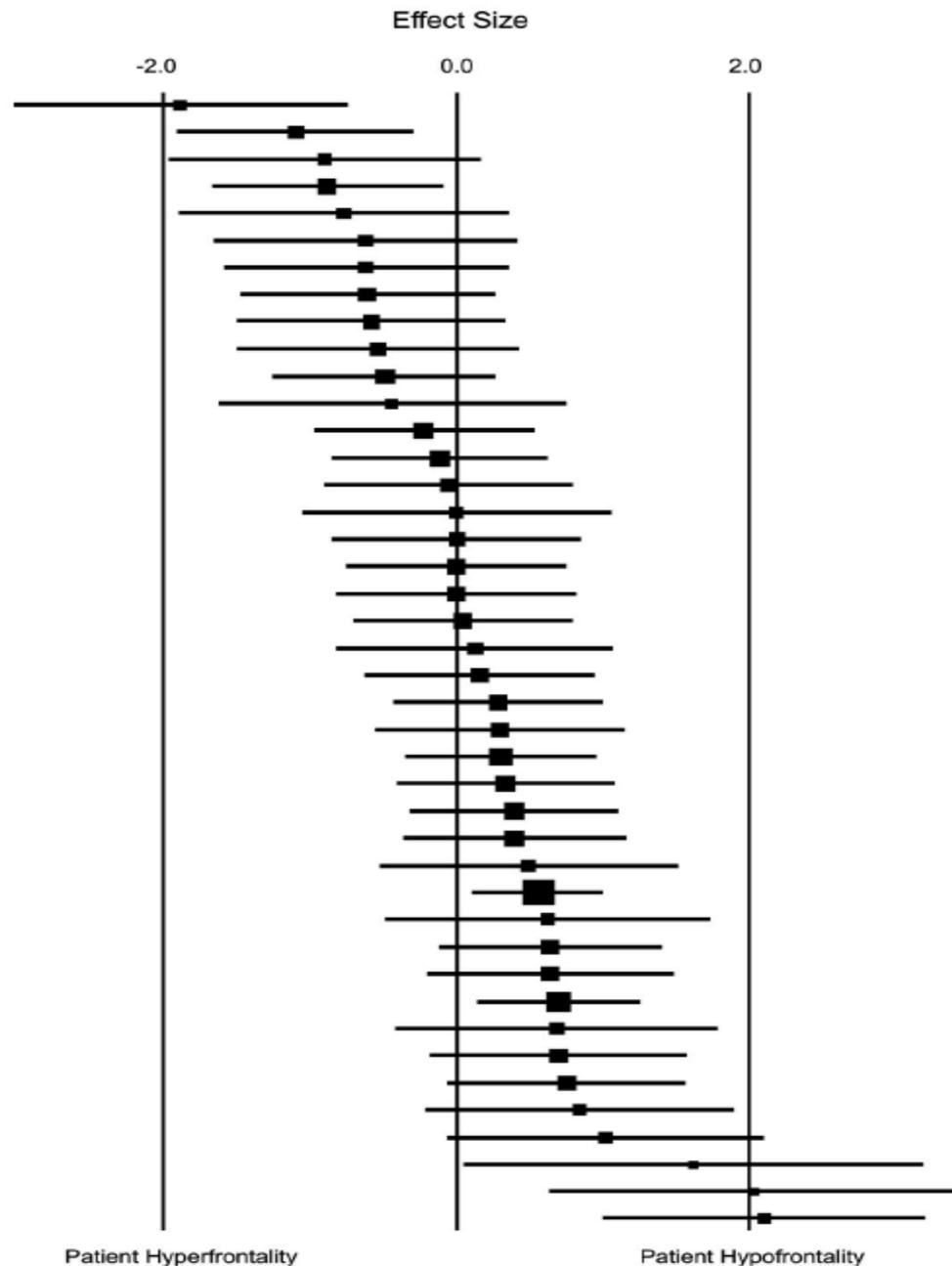
*Published online April 4, 2011.*

*doi:10.1001/archgenpsychiatry.2011.28*

# Lessons Learnt

Too many “Approximate” replications

Study	Effect	Sample Size		-4.0
		Control	Patient	
Sabri et al, 2003	-1.89	10	11	
Callicott et al, 2000*	-1.09	18	13	
Manoach et al, 2000*	-0.90	9	9	
Callicott et al, 2000*	-0.88	18	13	
Quintana et al, 2003	-0.77	8	8	
Manoach et al, 2000*	-0.62	9	9	
Jansma et al, 2004*	-0.62	10	10	
Stevens et al, 1998*	-0.61	12	12	
Manoach et al, 1999	-0.58	10	12	
Jansma et al, 2004*	-0.53	10	10	
Cairo, 2003*	-0.49	15	15	
Manoach et al, 2001	-0.44	7	7	
Cairo, 2003*	-0.22	15	15	
Perlstein et al, 2003*	-0.12	15	16	
Kim et al, 2003	-0.05	12	12	
Kindermann et al, 2004	0.00	10	7	
Hugdahl et al, 2004	0.00	12	12	
Walter et al, 2003	0.00	15	15	
Meyer-Lindenberg et al, 2001	0.00	13	13	
Cairo, 2003*	0.05	15	15	
Jansma et al, 2004*	0.13	10	10	
Callicott et al, 2003	0.16	14	14	
Volz et al, 1999	0.29	20	14	
Stevens et al, 1998*	0.30	12	12	
Honey et al, 2002	0.30	20	19	
Perlstein et al, 2003*	0.34	15	16	
MacDonald & Carter, 2003	0.40	17	17	
Cairo, 2003*	0.40	15	15	
Glahn, 2000*	0.49	9	9	
Barch et al, 2002	0.55	48	38	
Carter et al, 1998	0.62	8	8	
Perlstein et al, 2003*	0.64	15	16	
Barch et al, 2001	0.64	12	14	
Honey et al, 2003	0.70	27	30	
Wykes et al, 2002	0.68	6	12	
Mendrek, 2000	0.70	12	12	
Salgado-Pineda et al, 2004	0.75	14	14	
Glahn, 2000*	0.84	9	9	
Glahn, 2000*	1.02	9	9	
Callicott et al, 1998	1.62	6	6	
Mendrek, 2000	2.02	8	8	
Menon et al, 2001	2.09	13	11	



# Lessons Learnt

Too many studies, too little “power”

# Power failure: why small sample size undermines the reliability of neuroscience

Katherine S. Button<sup>1,2</sup>, John P. A. Ioannidis<sup>3</sup>, Claire Mokrysz<sup>1</sup>, Brian A. Nosek<sup>4</sup>, Jonathan Flint<sup>5</sup>, Emma S. J. Robinson<sup>6</sup> and Marcus R. Munafò<sup>1</sup>

- The first implication of “power failure”
  - Miss findings (working at 18-30% power)
- The *Winner’s Curse*
  - Find exaggerated effects
  - The chance of replication goes down

# Lessons Learnt

Little discussion of “Clinical Significance”



## Biomarkers of treatment outcome in schizophrenia: Defining a benchmark for clinical significance

Stephen Z. Levine<sup>a</sup>,  , Jonathan Rabinowitz<sup>b</sup>, Rudolf Uher<sup>c</sup>, Shitij Kapur<sup>d</sup>

### Depression Tools.org

Home

Clinical Significance Calculator

### Biomarkers and clinical significance

Biomarkers are measures taken from blood or of measurement. Biomarkers include genetic variations activity among others. It is hoped that biomarkers can select treatments that are more likely to work for a given medicine. However, for a biomarker to be clinically me

Reference: Please refer to the article that describes the data simulations and methods behind the clinical significance calculator: <http://www.futuremedicine.com/doi/abs/10.2217/pqs.11.161>

Citations: Uher R, Tansey KE, Malki K, Perlis RH (2012) Biomarkers predicting treatment outcome in depression: what is clinically significant? *Pharmacogenomics* 13(2):233-40.

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Type of Biomarker

--please select one--  
--please select one--  
binary  
genetic  
continuous

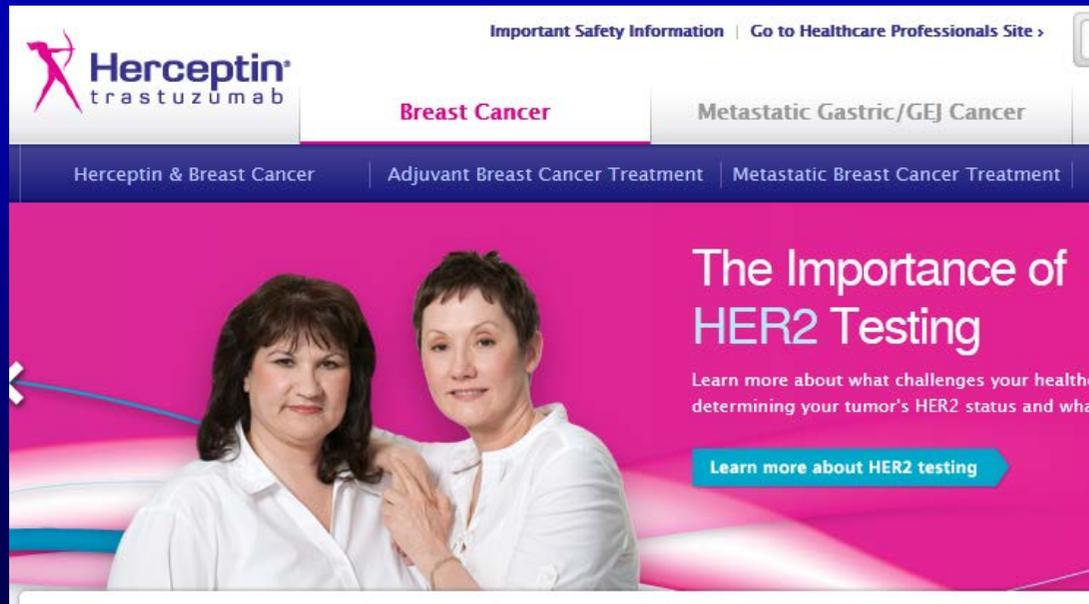
Please enter the effect size as number of Hamilton Rating Scale for Depression (HRSD) points outcome difference per unit of biomarker

Calculate

# Lessons from successes in Medicine



# From Biological Discoveries to Precision Medicines and New kinds of Trials



Important Safety Information | Go to Healthcare Professionals Site >

**Herceptin**  
trastuzumab

**Breast Cancer** | Metastatic Gastric/GEJ Cancer

Herceptin & Breast Cancer | Adjuvant Breast Cancer Treatment | Metastatic Breast Cancer Treatment

**The Importance of HER2 Testing**

Learn more about what challenges your healthcare provider face when determining your tumor's HER2 status and what to do about it.

[Learn more about HER2 testing](#)

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# Human Breast Cancer: Correlation of Relapse and Survival with Amplification of the HER-2/*neu* Oncogene

DENNIS J. SLAMON,\* GARY M. CLARK, STEVEN G. WONG, WENDY J. LEVIN,  
AXEL ULLRICH, WILLIAM L. MCGUIRE

Source: **SCIENCE** Volume: 235 Issue: 4785 Pages: 177-182 Published: **JAN 9 1987**

## Studies of the HER-2/*neu* Proto-oncogene in Human Breast and Ovarian Cancer

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DENNIS J. SLAMON,\* WILLIAM GODOLPHIN, LOVELL A. JONES,  
JOHN A. HOLT, STEVEN G. WONG, DUANE E. KEITH, WENDY J. LEVIN,  
SUSAN G. STUART, JUDY UDOVE, AXEL ULLRICH, MICHAEL F. PRESS

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Carcinoma of the breast and ovary account for one-third of all cancers occurring in women and together are responsible for approximately one-quarter of cancer-related deaths in females. The HER-2/*neu* proto-oncogene is amplified in 25 to 30 percent of

Source: **SCIENCE** Volume: 244 Issue: 4905 Pages: 707-712 Published: **MAY 12 1989**

The  
Journal

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VOLUME 344

USE OF CHEMOTHERAPY  
FOR METASTATIC BREAST

DENNIS J. SLAMON, M.D., PH.D.,  
VIRGINIA PATON, PHARM.D., ALEX B  
JANET WOLTER, M.D., MARK

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THE  
EMPEROR  
OF ALL  
MALADIES



A BIOGRAPHY OF CANCER

SIDDHARTHA  
MUKHERJEE

Gabriella Mariani, Jose Baselga, Manfred Kaufmann, David Cameron, Richard Bell, Jonas Bergh, Robert Coleman, Andrew Wardley, Nadia Harbeck, Roberto Lopez, Peter Mallmann, Karen Gelmon, Nicholas Wilcken, Erik Wist, Pedro Sánchez Rovira, Martine J Piccart-Gebhart, for the HERA study team

3 11

HER2

.D.,  
M.D.,

## Articles

trastuzumab  
in HER2  
positive  
breast  
cancer: a randomised

*Lancet* 2007; 369: 29–36

See [Comment](#) page 3

Royal Marsden Hospital, London, UK (Prof I Smith MD, Prof M Dowsett PhD); Institute of Cancer Research, London (Prof I Smith, M Dowsett); Frontier Science (Scotland), Kingussie, UK (M Procter MSc); Department of Biostatistics and Computational Biology, Dana-Farber Cancer Institute, Boston, MA, USA (Prof R D Gelber PhD);

M Dowsett, Aron Goldhirsch, Michael Untch,

# Data-driven Findings

The critical importance of precise replication



# Gene expression profiling predicts clinical outcome of breast cancer

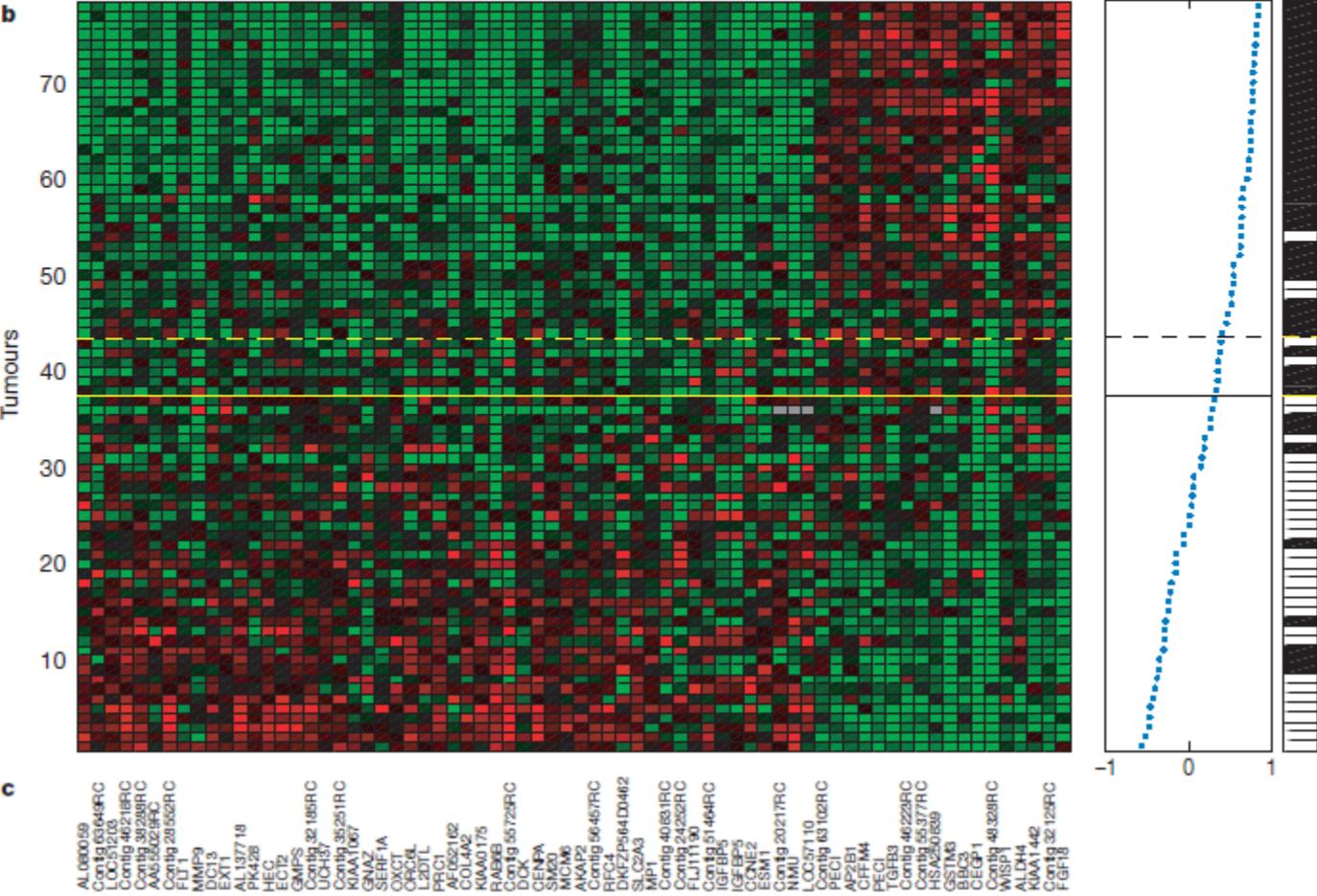
Laura J. van 't Veer<sup>††</sup>, Hongyue Dai<sup>†‡</sup>, Marc J. van de Vijver<sup>††</sup>,

Sporadic breast tumours  
patients <55 years  
tumour size <5 cm  
lymph node negative (LN0)

Prognosis reporter genes

Distant metastases  
<5 years

No distant metastases  
>5 years



# Gene expression profiling predicts clinical outcome of breast cancer

Laura J. van 't Veer<sup>\*†</sup>, Hongyue Dai<sup>‡§</sup>, Marc J. van de Vijver<sup>\*†</sup>,

NATURE | VOL 415 | 31 JANUARY 2002 | www.nature.com

allow for patient-tailored therapy strategies. Here we used DNA microarray analysis on primary breast tumours of 117 young patients, and applied supervised classification to identify a gene expression signature strongly predictive of a short interval to distant metastases ('poor prognosis' signature) in patients with-

# Precise Replications

ORIGINAL ARTICLE

## A Gene-Expression Signature as a Predictor of Survival in Breast Cancer

Marc J. van de Vijver, M.D., Ph.D., Yudong D. He, Ph.D., Laura J. van 't Veer, Ph.D., Hongyue Dai, Ph.D., Augustinus A.M. Hart, M.Sc., Dorien W. Voskuil, Ph.D., George J. Schreiber, M.Sc., Johannes L. Peterse, M.D., Chris Roberts, Ph.D., Matthew J.

N Engl J Med 2002; 347:1999-2009 | December 19, 2002 |

## Validation and Clinical Utility of a 70-Gene Prognostic Signature for Women With Node-Negative Breast Cancer

Marc Buyse, Sherene Loi, Laura van't Veer, Giuseppe Viale, Mauro Delorenzi,

Journal of the National Cancer Institute, Vol. 98, No. 17, September 6, 2006

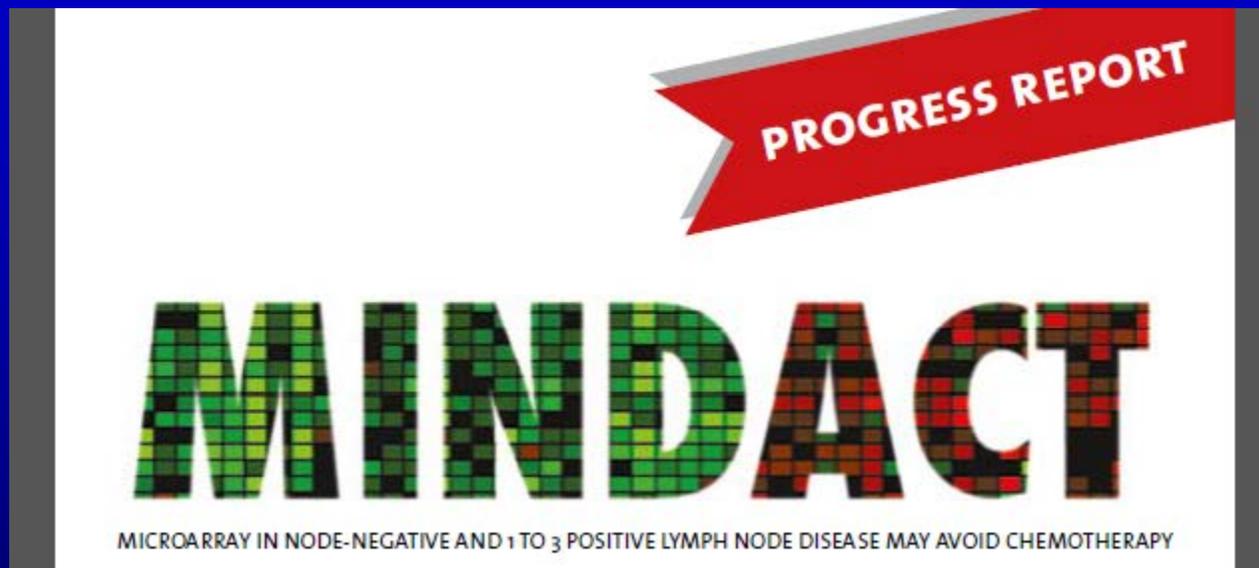
original article

Annals of Oncology 21: 717-722, 2010  
doi:10.1093/annonc/mdp388  
Published online 13 October 2009

## The 70-gene prognosis signature predicts early metastasis in breast cancer patients between 55 and 70 years of age

S. Mook<sup>1</sup>, M. K. Schmidt<sup>2</sup>, B. Weigelt<sup>3</sup>, B. Kreike<sup>4</sup>, I. Eekhout<sup>1</sup>, M. J. van de Vijver<sup>1</sup>, A. M. Glas<sup>5</sup>,

# Trials must lead to “Clinically useful & Innovative Option”



PROGRESS REPORT

# MINDACT

MICROARRAY IN NODE-NEGATIVE AND 1 TO 3 POSITIVE LYMPH NODE DISEASE MAY AVOID CHEMOTHERAPY

The image shows a slide with a white background and a grey border. At the top right, a red ribbon banner contains the text "PROGRESS REPORT" in white. Below this, the word "MINDACT" is written in large, bold, black letters. Each letter is filled with a grid of small squares in various shades of green and red, resembling a microarray. Underneath the main title, a smaller line of text reads "MICROARRAY IN NODE-NEGATIVE AND 1 TO 3 POSITIVE LYMPH NODE DISEASE MAY AVOID CHEMOTHERAPY".



EORTC

1 / 6

The image shows a presentation navigation bar with a white background and a grey border. On the left, there is a logo featuring a blue DNA double helix and a green globe. To the right of the logo is the text "EORTC" in blue. Below these elements is a dark grey rounded rectangle containing several icons: a save icon, a print icon, an up arrow, a down arrow, a box containing "1 / 6", a minus sign, a plus sign, and a PDF icon.

# There is always a standard of care

**Patient Information**

Age:

Comorbidity:

ER Status:

Tumor Grade:

Tumor Size:

Positive Nodes:

Calculate For:

10 Year Risk:

**Adjuvant Therapy Effectiveness**

horm:

chem:

Hormonal Therapy:

Chemotherapy:

Combined Therapy:

**No additional therapy:**

alive in 10 years.

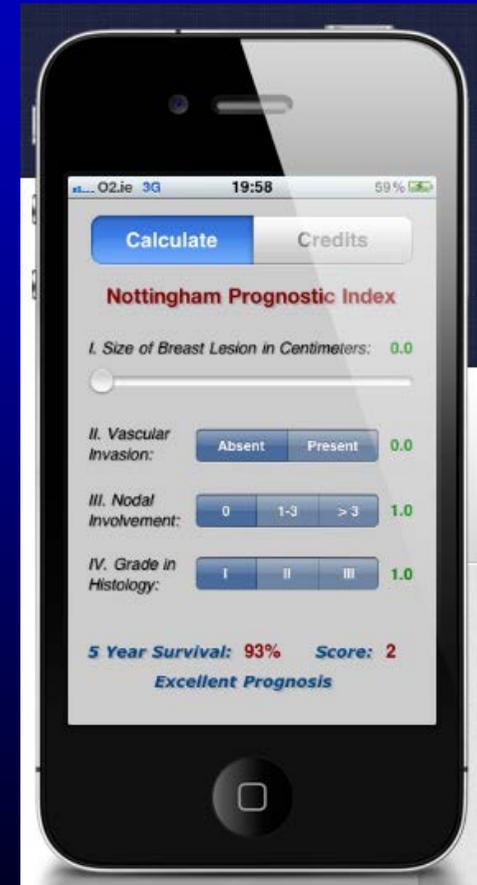
die due to cancer.

die of other causes.

**With hormonal therapy: Benefit = 6.2 alive.**

**With chemotherapy: Benefit = 3.0 alive.**

**With combined therapy: Benefit = 8.8 alive.**



# MINDACT Trial

Breast Cancer Suspicion

Confirmation, Surgery, Pathology, ER Status  
Clinical Predication & Mammaprint

Clinical	Mammaprint	Recommendation
High	Low	Low
High	High	High
Low	Low	Low
Low	High	High



Clinical - Low  
Mammaprint - Low

Clinical - High  
Mammaprint - High

Clinical High/Mammaprint Low  
Clinical Low/Mammaprint High

NO CHEMOTHERAPY  
NO NEED FOR TRIAL

CHEMOTHERAPY  
NO NEED FOR TRIAL

RANDOMISE TO DB-RCT  
CHEMOTHERAPY/PLACEBO

# Some lessons from the frontiers of 'Precision Medicine' Trials

- It is working in several areas in medicine - but it requires patience and persistence.
- A 'precision' psychiatry/neurology will enrich and modify, rather than replace current psychiatric and neurological practise.
- To reap these benefits we will need to change the:
  - *Size and scale of our efforts (PGC, ASD)*
  - *Standardisation of measures (ADNI)*
  - *Develop a framework for assessing the clinical significance of potential innovative options*

Thank you for your attention ..