

Spillover and emergence of zoonotic pathogens



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Goals of this talk

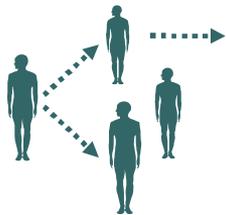
Summarize progress and challenges in understanding
emergence of zoonotic pathogens.

*Modeler/quantitative ecologist/epidemiologist perspective

*Focus on directly-transmitted pathogens

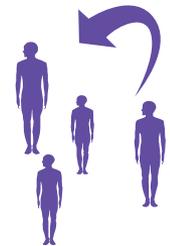
The reproductive number, R , is the average number of secondary cases infected by a typical case.

$R > 1$ is threshold for **sustained transmission.**

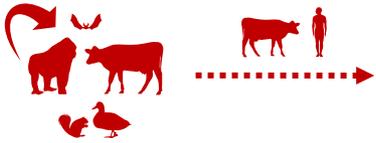


**Subcritical
transmission
($R < 1$)**

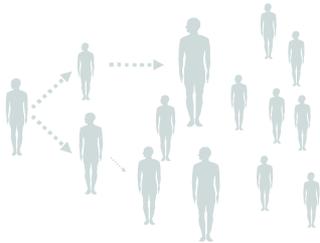
**Supercritical
→ epidemic or
pandemic threat
($R > 1$)**



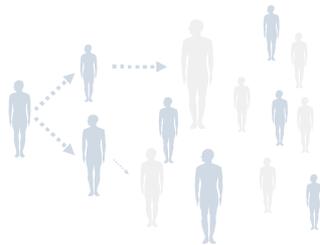
Factors governing emergence risk



Spillover transmission



Human-to-human transmissibility



Susceptibility of the human population

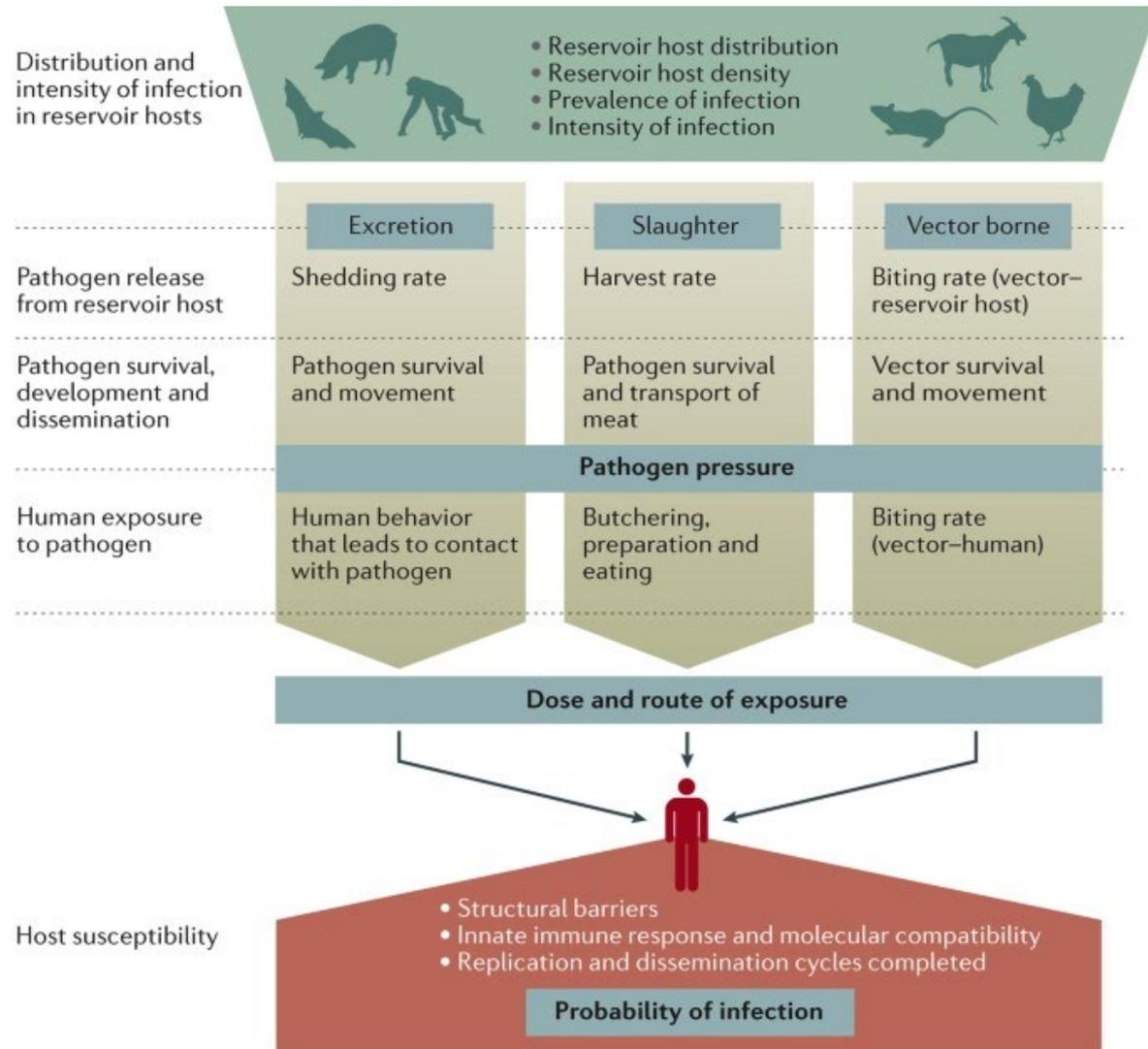


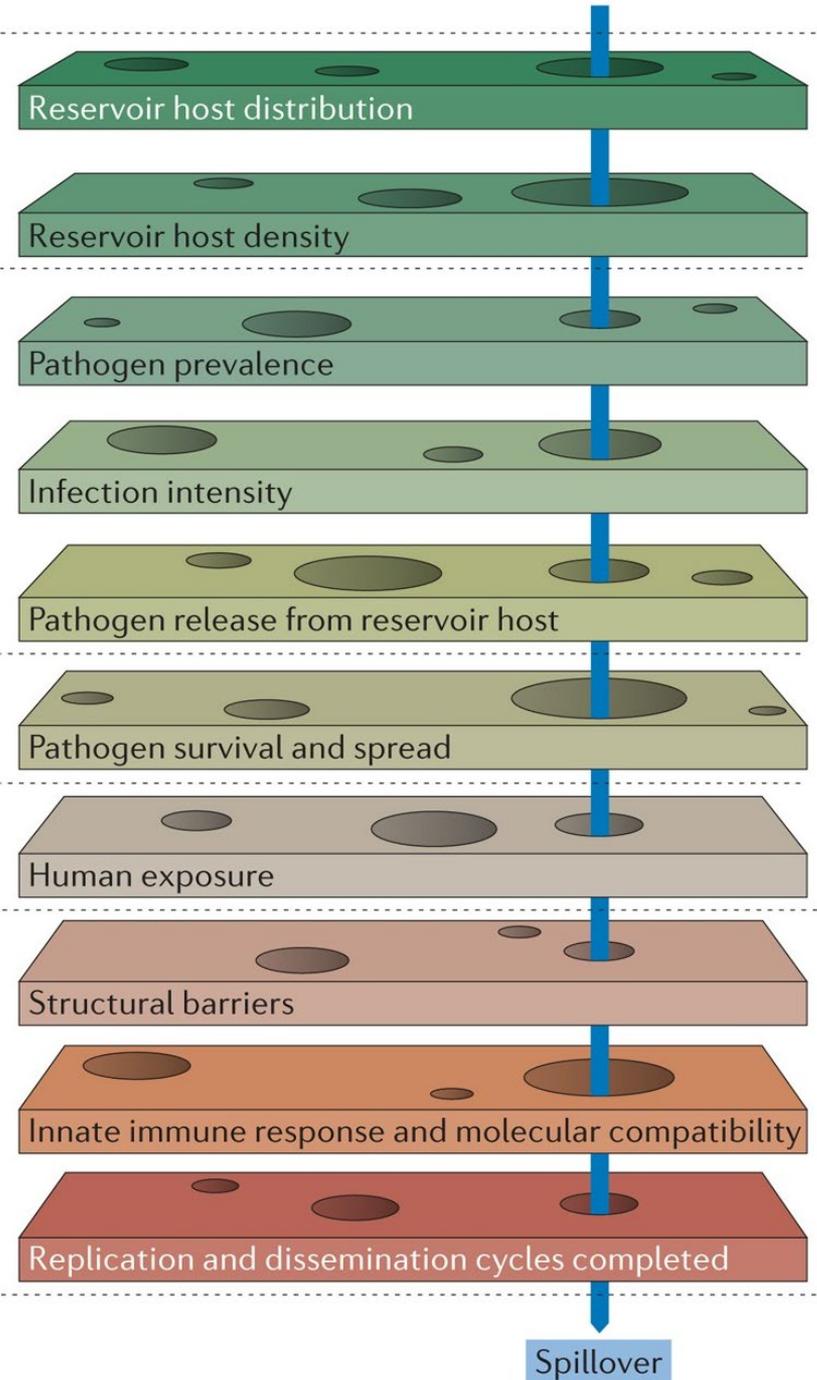
Onward spread and connectivity

Zoonotic spillover

General model for animal-to-human spillover

- Linking scales from reservoir ecology to human behavior to within-host
- Different modes of transmission





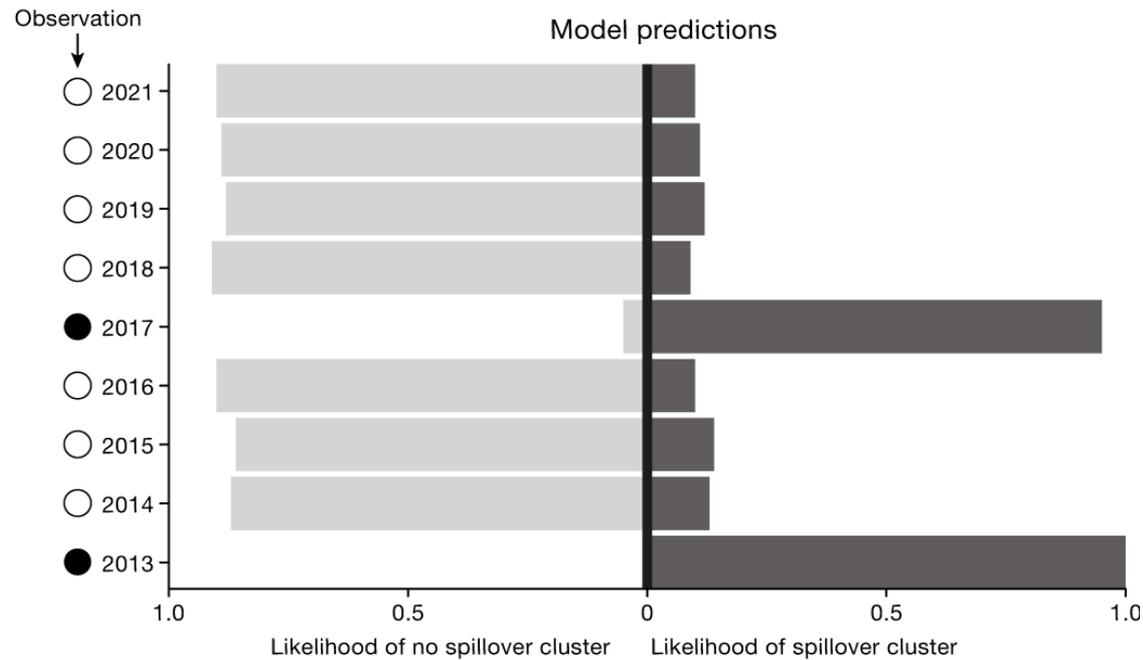
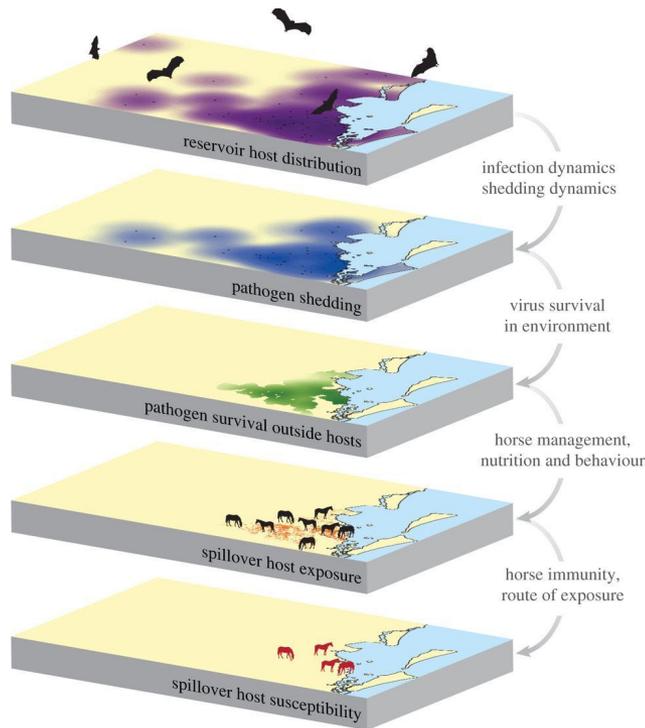
All factors changing in time and space!

When do the openings line up to create spillover events?

→ apparent 'stochasticity' of spillover.

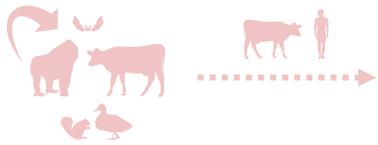
But by studying the layers, we can understand risk.

Pathogen spillover driven by rapid changes in bat ecology

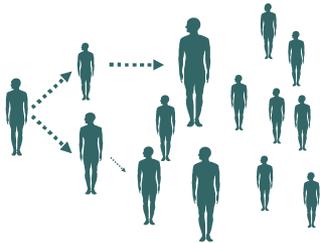


Plowright et al, Proc Royal Soc B (2015); Eby et al, Nature (2023)

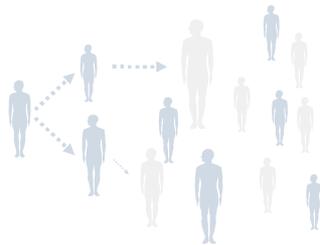
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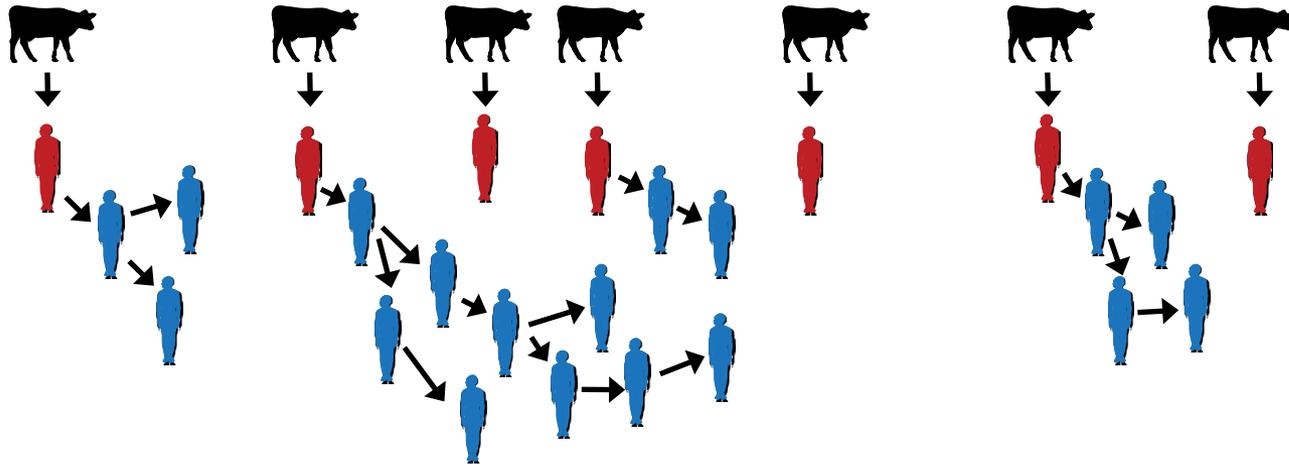
Susceptibility of the human population



Onward spread and connectivity

Estimating transmissibility from human case data

Key challenge: To disentangle contributions of **zoonotic spillover** versus **human-to-human transmission**.



Developed model-based methods using **attainable data** (case onset dates or cluster sizes) and addressing **common challenges** (imperfect case detection, missing data)
→ estimate human-to-human R from **real-world data**.

Blumberg & Lloyd-Smith, PLoS Comp Biol (2013)

Blumberg & Lloyd-Smith, Epidemics (2013)

Blumberg et al, Am J Epi (2014)

Ambrose et al, bioRxiv

Assessing transmissibility from lab experiments

Can we learn more from animal transmission studies?

Meta-analysis of ferret model for influenza transmission

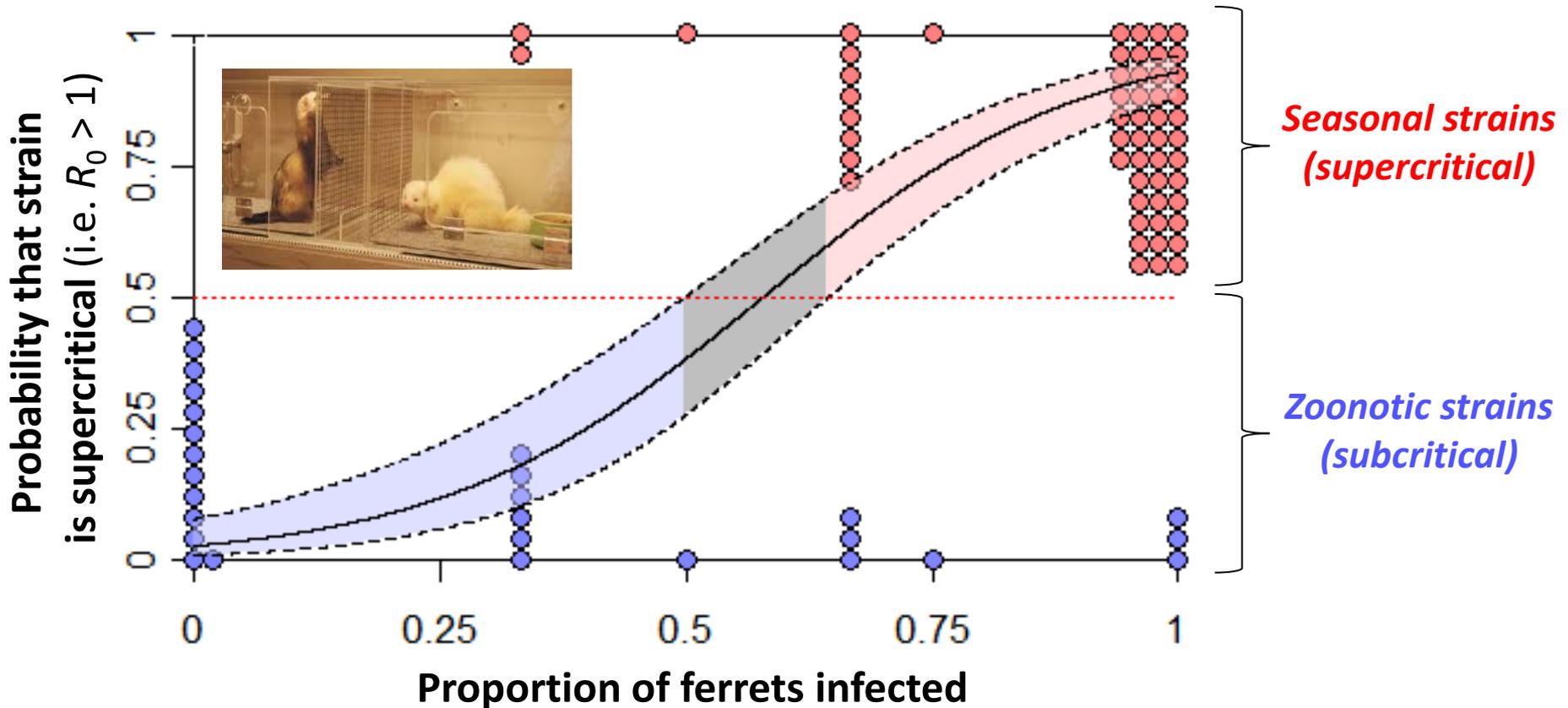


Can we identify strains as likely to be **supercritical in humans** (i.e. $R_0 > 1$) from ferret data?

Assessing transmissibility from lab experiments

Statistically speaking:

if more than 2/3 of ferrets infected via airborne spread, the strain is **likely to be supercritical**.



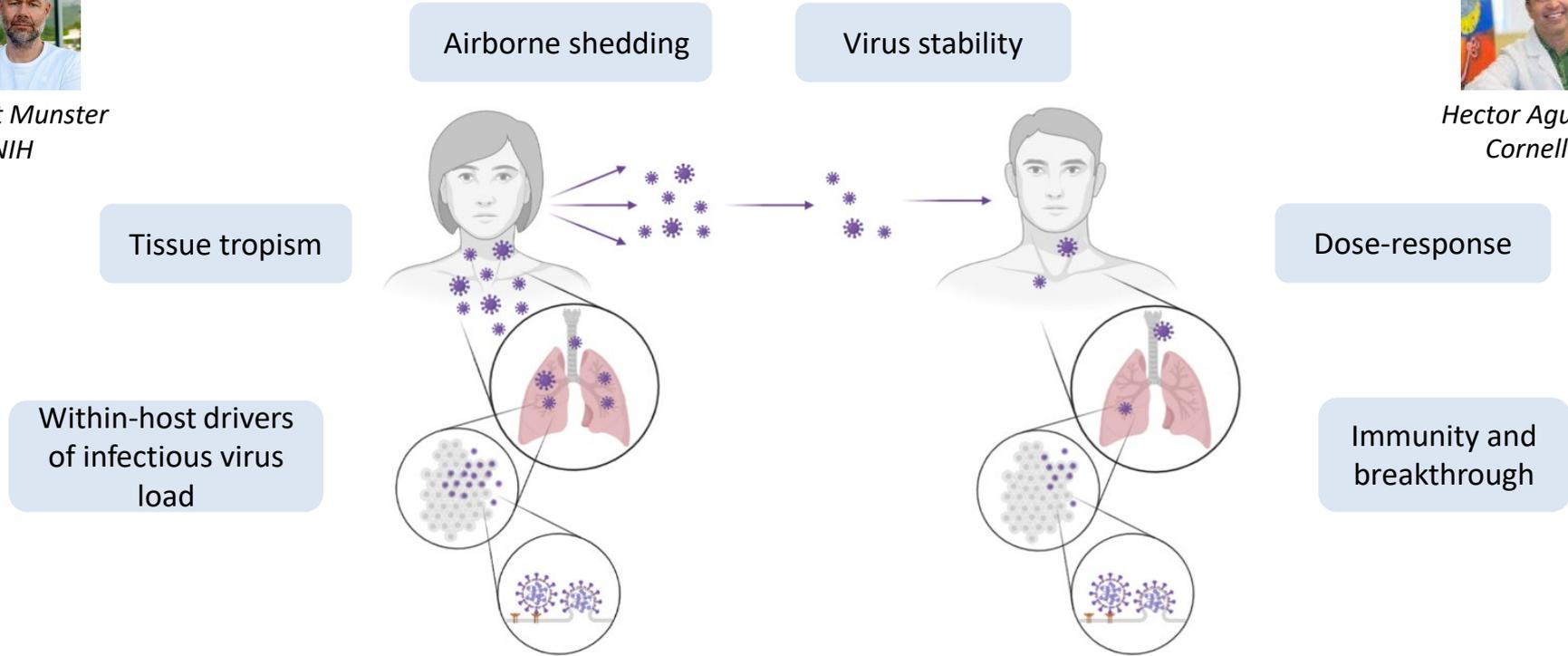
Assessing transmissibility from lab experiments



Vincent Munster
NIH



Hector Aguilar
Cornell



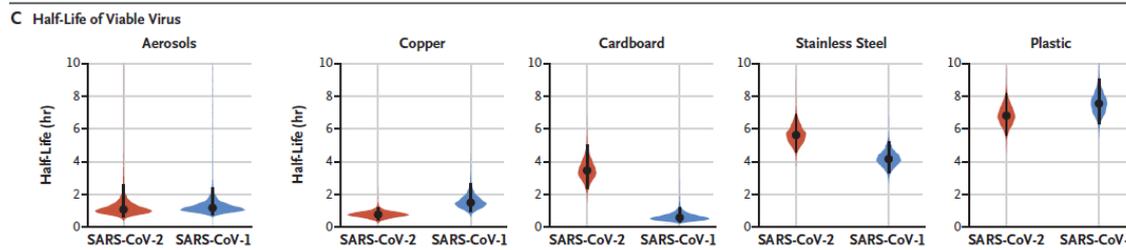
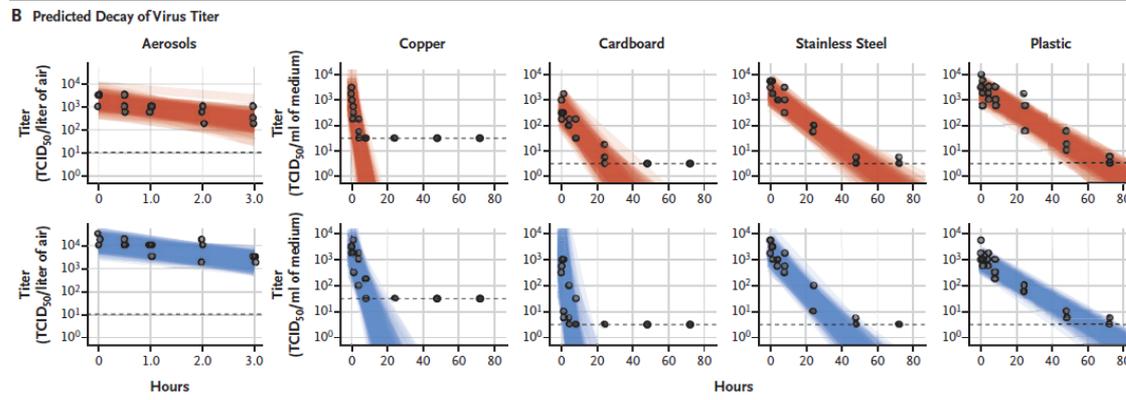
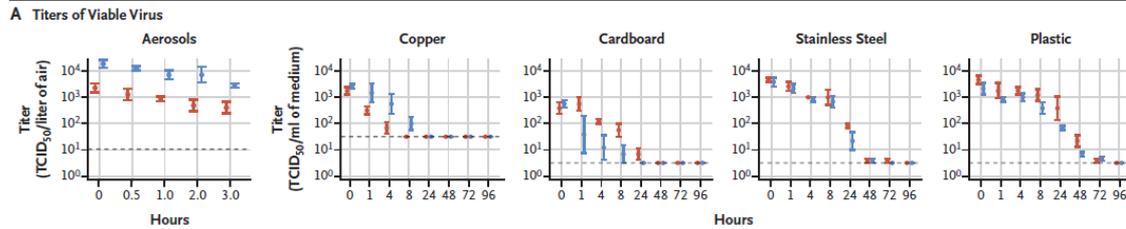
→ mechanistic model to estimate transmission risk using virological data
(and elements of aerosol physics, dose-response theory, etc)



Assessing transmissibility from lab experiments

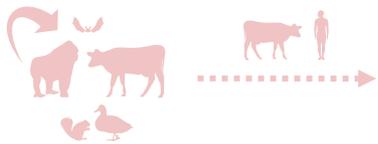
The NEW ENGLAND JOURNAL of MEDICINE

Aerosol and Surface Stability of SARS-CoV-2 as Compared with SARS-CoV-1

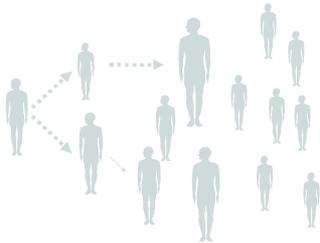


Lab data
+
Model-based analysis
+
Comparative epidemiology
↓
Predicted potential for airborne transmission and superspreading in March 2020.

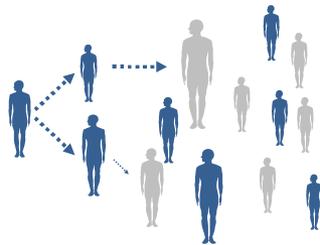
Factors governing emergence risk



Spillover transmission

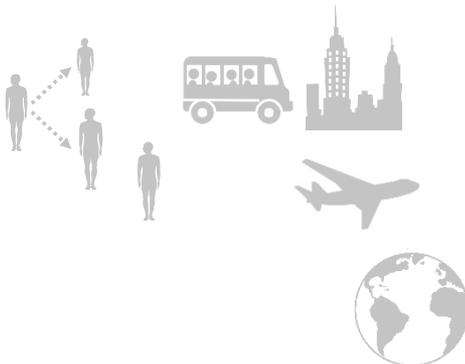


Human-to-human transmissibility



Susceptibility of the human population

$$\text{Effective } R = R_0 \times \text{susceptibility}$$



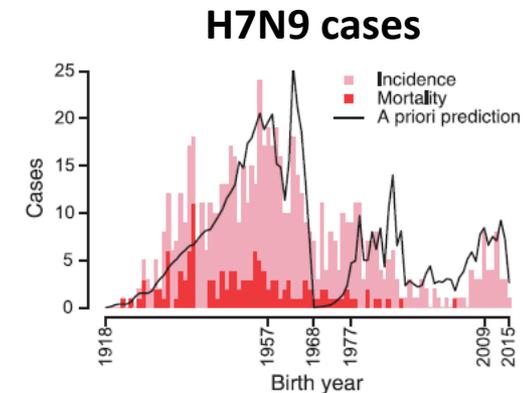
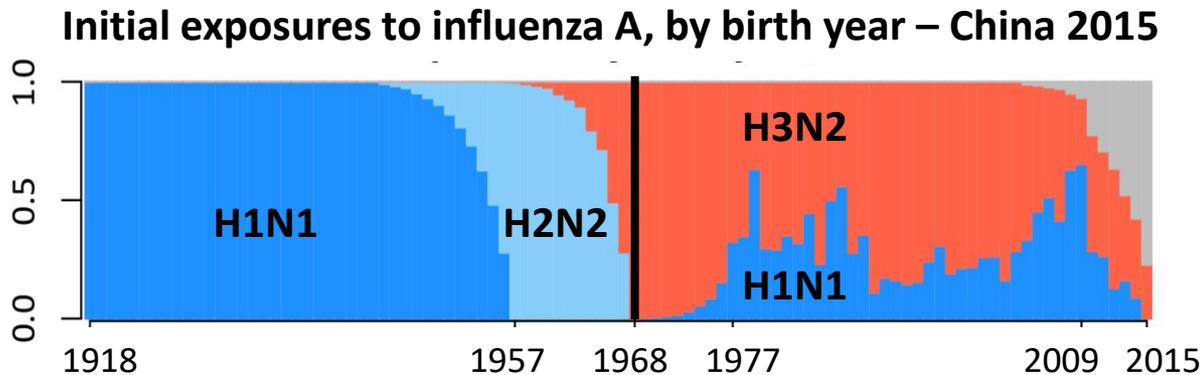
Onward spread and connectivity

Prior immunity against 'novel' pathogens: avian influenza

Science

Potent protection against H5N1 and H7N9 influenza via childhood hemagglutinin imprinting

Katelyn M. Gostic,¹ Monique Ambrose,¹ Michael Worobey,^{2*} James O. Lloyd-Smith^{1,3*}



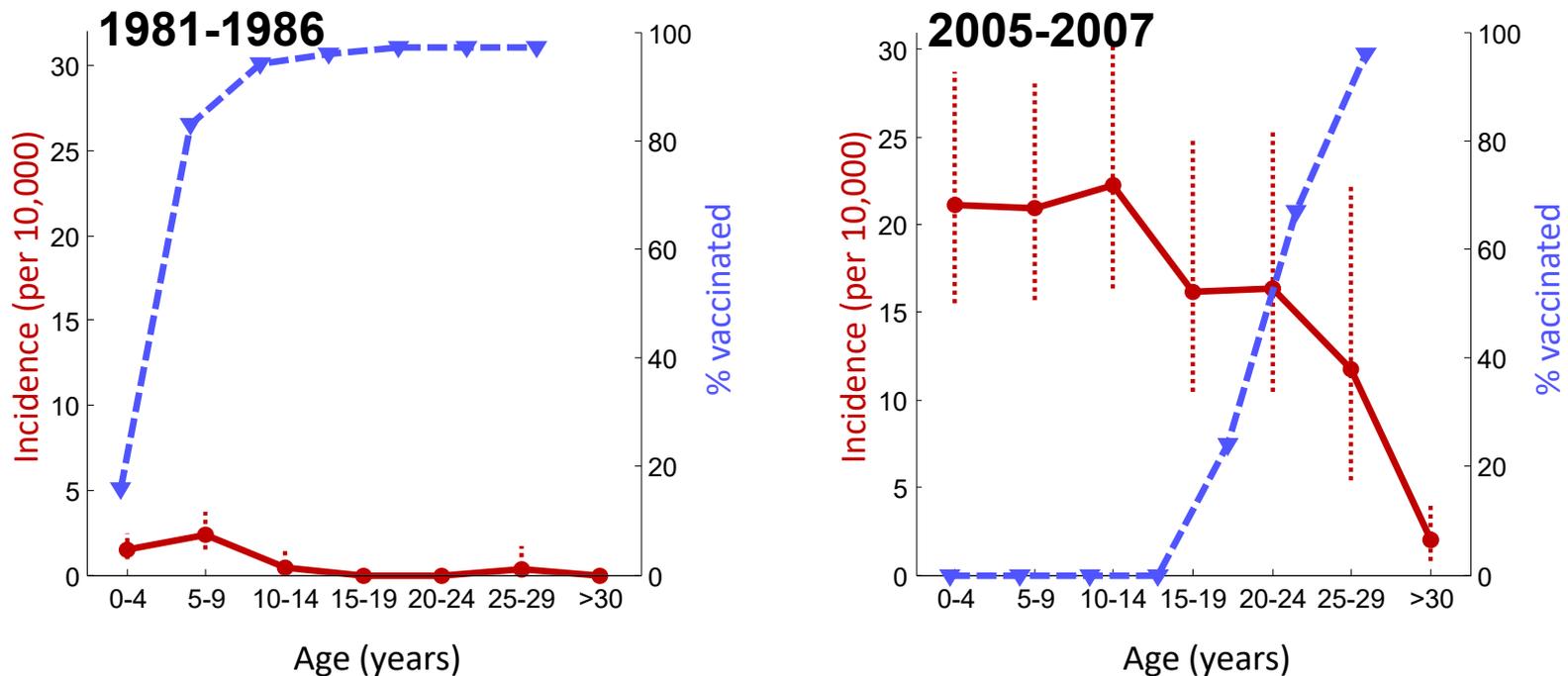
Immunological imprinting to first infection with seasonal flu

→ lifelong protection against avian flu viruses from same HA group

Pandemic shifts in seasonal flu subtypes → **predictable waves of susceptibility.**

Prior immunity against 'novel' pathogens: mpox

Human mpox incidence in DRC is shaped by past vaccination against smallpox...

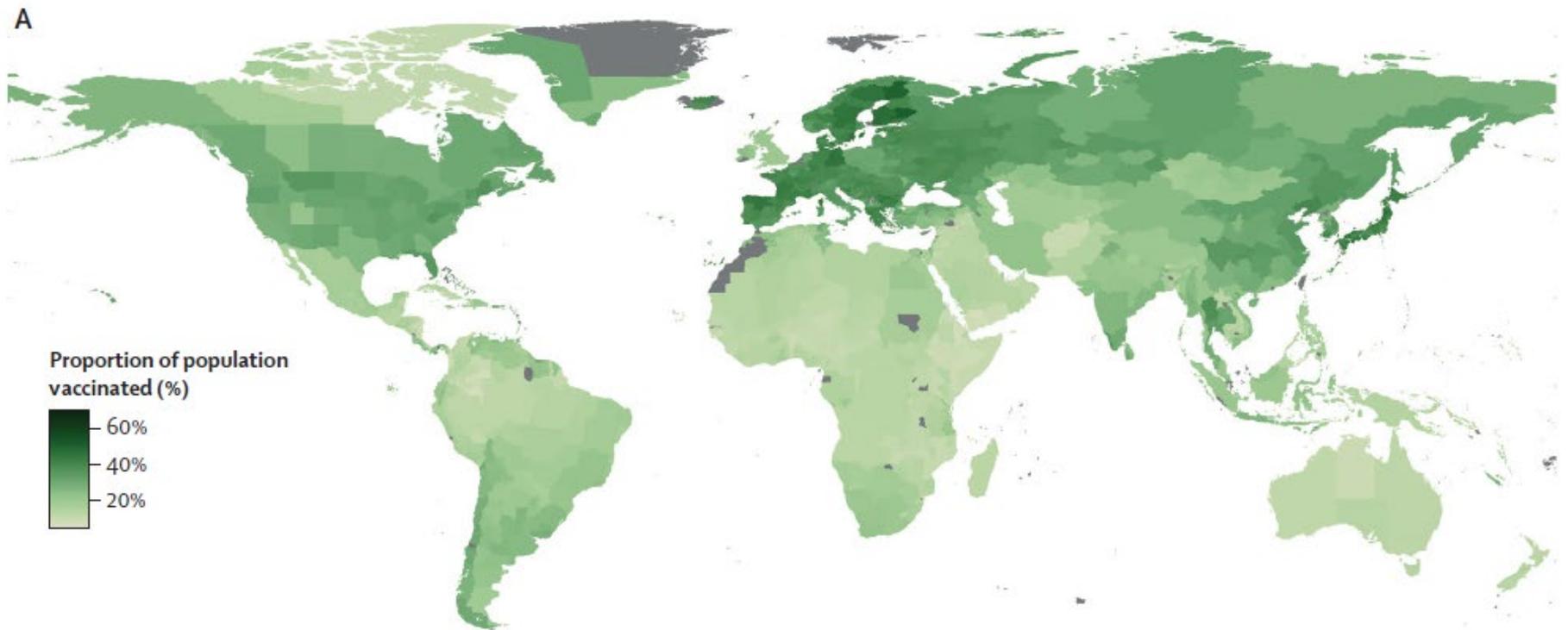


In aftermath of smallpox eradication in 1980.

Prior immunity against 'novel' pathogens: mpox

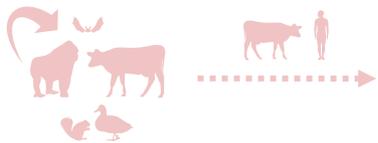
Human mpox incidence in DRC is shaped by past vaccination against smallpox...

... which can be **mapped globally** and **projected through time**.

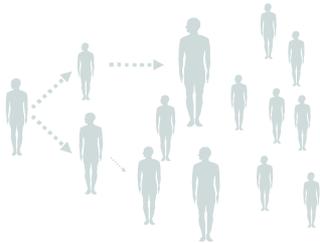


In aftermath of smallpox eradication in 1980.

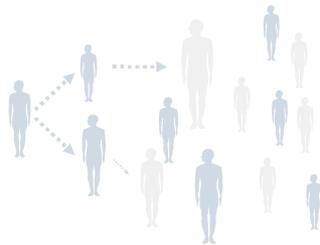
Factors governing emergence risk



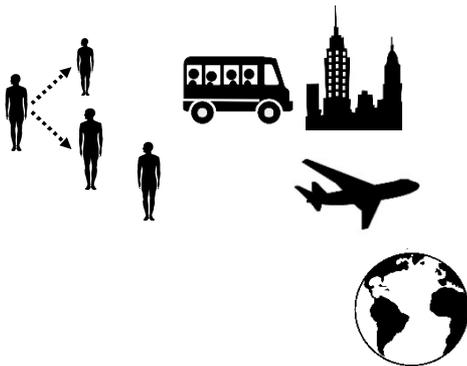
Spillover transmission



Human-to-human transmissibility



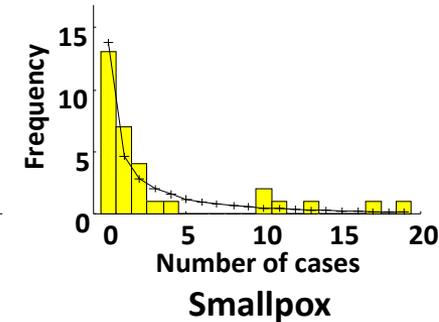
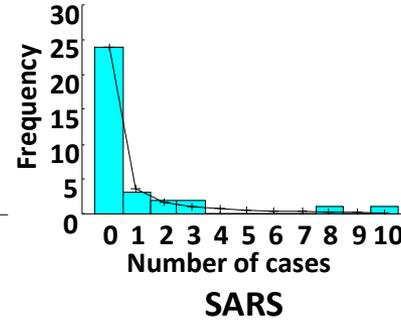
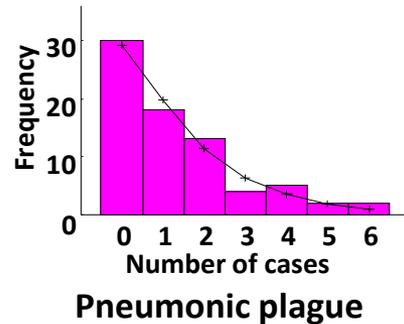
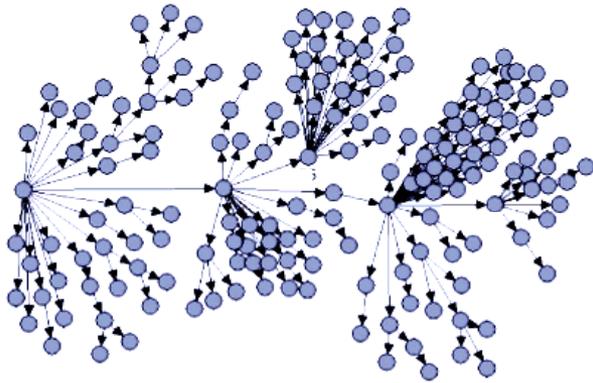
Susceptibility of the human population



Onward spread and connectivity

Initial spread: superspreading and extinction risk

Following spillover to index case, virus must **establish transmission chain**.
Viruses with $R_0 > 1$ often die out by chance.



‘Superspreading’ (i.e. substantial individual variation in transmission) is ubiquitous in infectious diseases – just a matter of degree.

Greater individual variation

→ Major outbreaks are **explosive**.

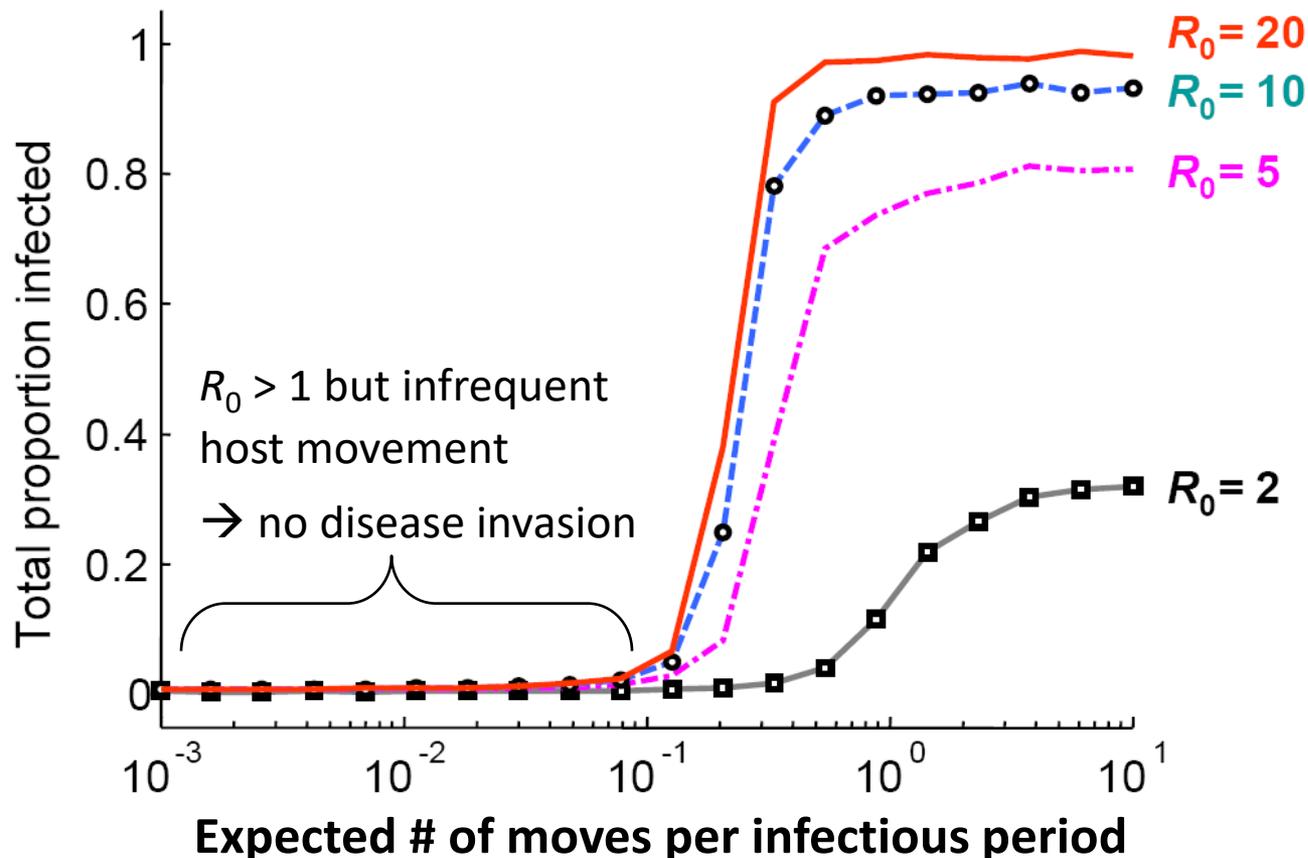
→ Introductions **more likely to die out**.

e.g. SARS-CoV predicted to die out 75% of time (despite $R_0 \approx 3$)

Onward transmission: host population structure

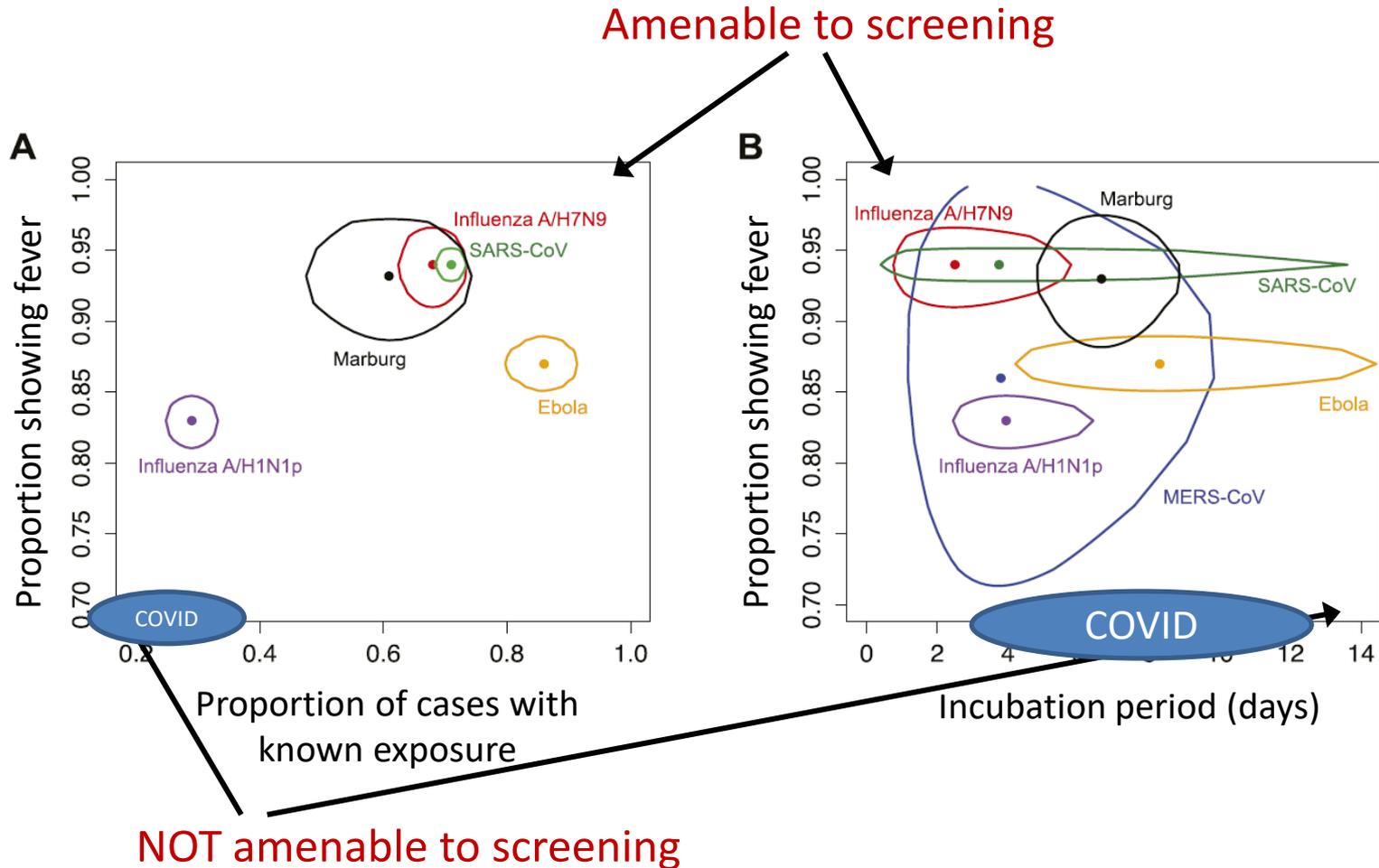
To cause a generalized epidemic,
an outbreak must **jump from its initial location to other regions**.
Frequency of host movements and infectious period are crucial.

Simulation study: stochastic epidemic in a network of villages



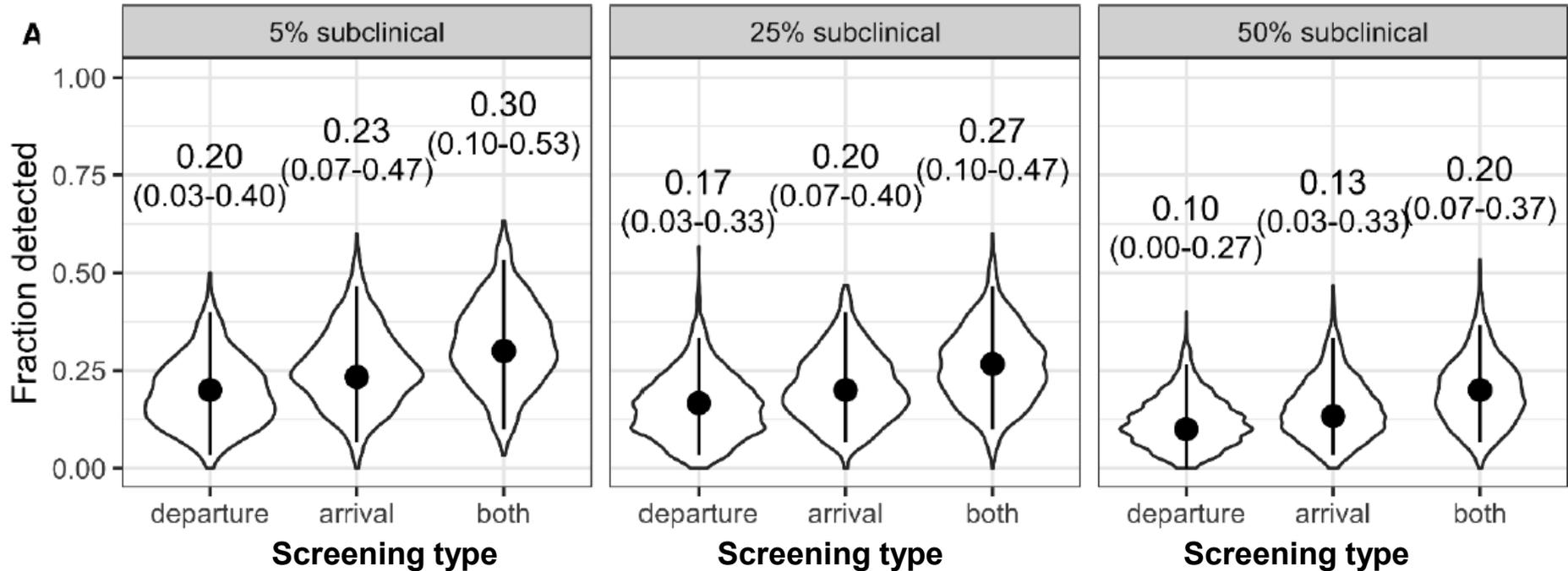
Control: traveler screening

Effectiveness of traveler screening programs depends on **natural history of infection** and knowledge of **risk factors**.



Control: symptom screening for COVID-19

Symptom screening will **detect less than half** of infected people, maybe as few as **1 in 10** → **Not a viable standalone strategy.**



Captured early knowledge to guide policy (despite uncertainty).

Submitted **Jan 29, 2020** – **leveraged existing model framework.**

Summary

Spillover: broke into mechanistic steps → study, predict, intervene.

Transmissibility: model-based approaches to analyze field and lab data.

Susceptibility: even novel viruses face landscapes of acquired immunity.

Onward spread: superspreading and population connectivity can cause early extinction or explosive outbreaks.

Control: life history of infections → effectiveness of interventions.

Take-home principles

- Breaking complex processes into **constituent steps** enables research progress and practical findings.
- **Mechanistic models** can integrate diverse data sources, synthesize knowledge and identify gaps.
- Investing in modeling platforms or data+modeling pipelines, trained on case studies, can **build rapid response capabilities for new events**.
- Rational risk assessment can guide longer-term **investment in prevention and response**. (e.g. WHO R&D Pipeline, Lancet Commission)

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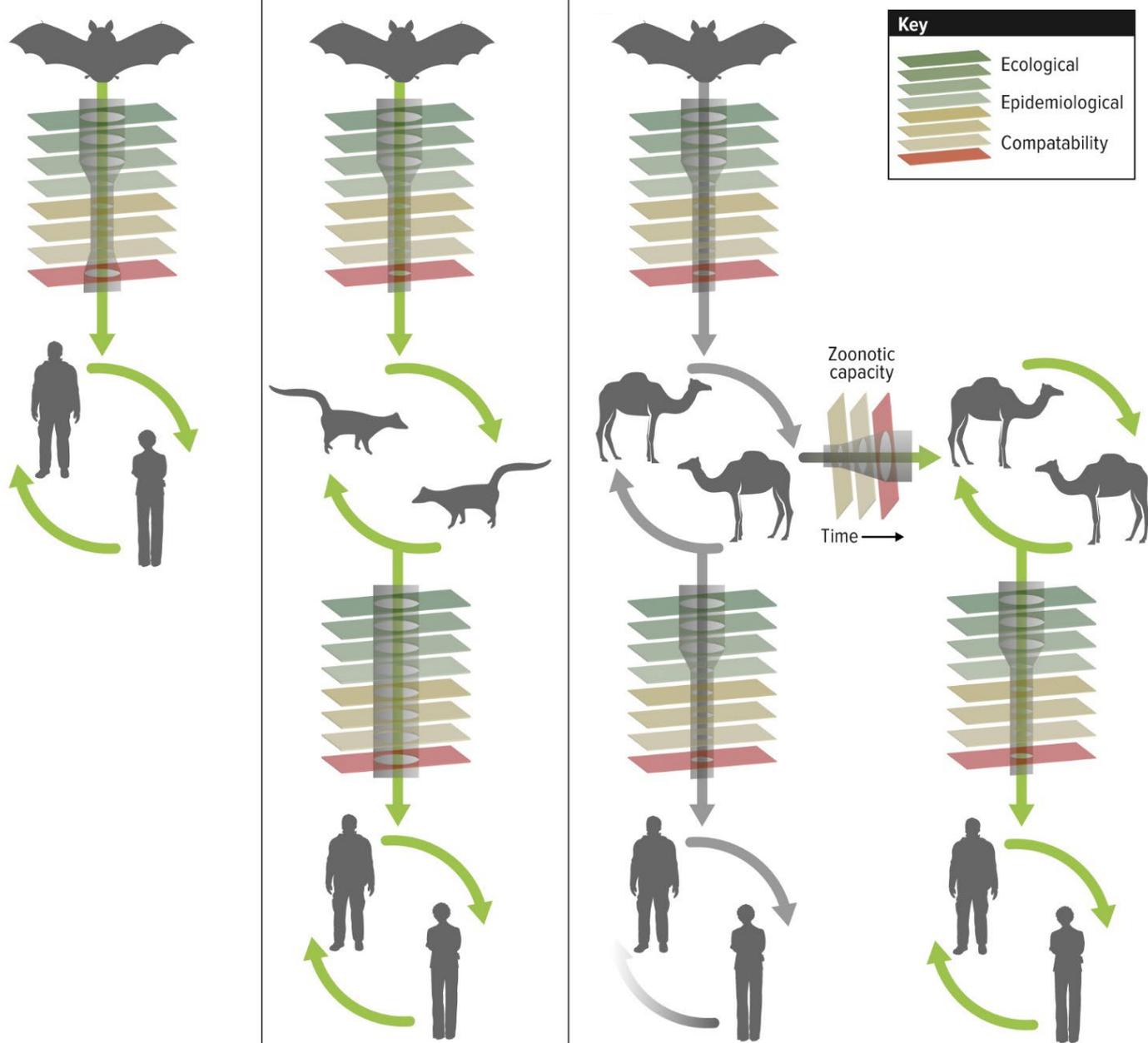
**Homeland
Security**

Science and Technology



FOGARTY





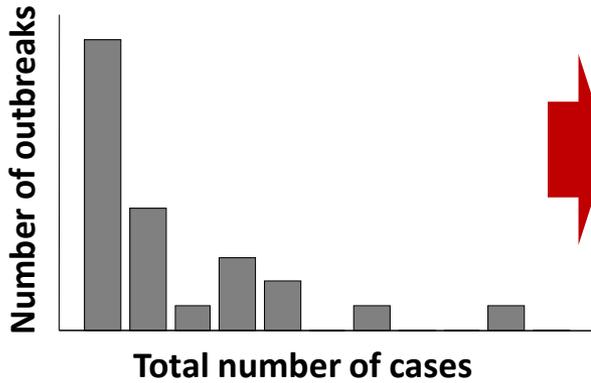
Estimating transmissibility of subcritical pathogens

From contact tracing data

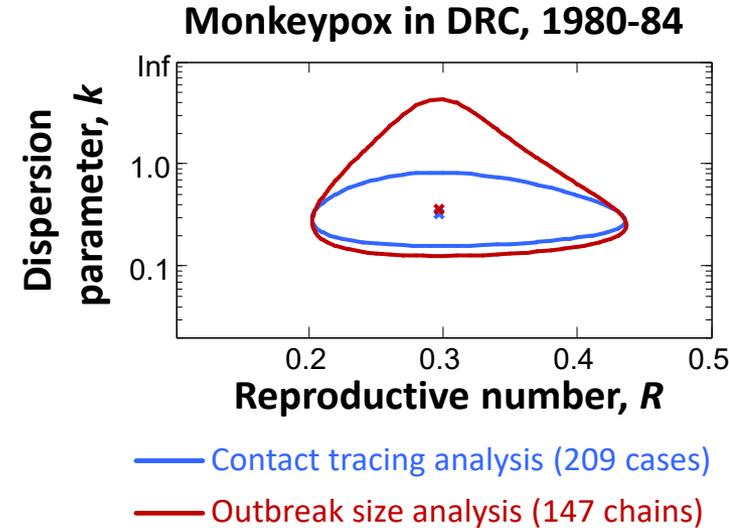
Easy analysis but data are rare!

Lloyd-Smith et al, Nature (2005)

From the distribution of outbreak/cluster sizes



$$Q(s) = \left(1 + \frac{R_{eff}}{k}(1-s)\right)^{-k}$$
$$r_j = \frac{\Gamma(kj + j - 1)}{\Gamma(kj)\Gamma(j+1)} \frac{\left(\frac{R_{eff}}{k}\right)^{j-1}}{\left(1 + \frac{R_{eff}}{k}\right)^{kj+j-1}}$$
$$L = \prod_{j=1}^{\infty} r_j^{n_j}$$



Blumberg & Lloyd-Smith, PLoS Comp Biol (2013)

Blumberg & Lloyd-Smith, Epidemics (2013)

From the proportion of cases infected by animals

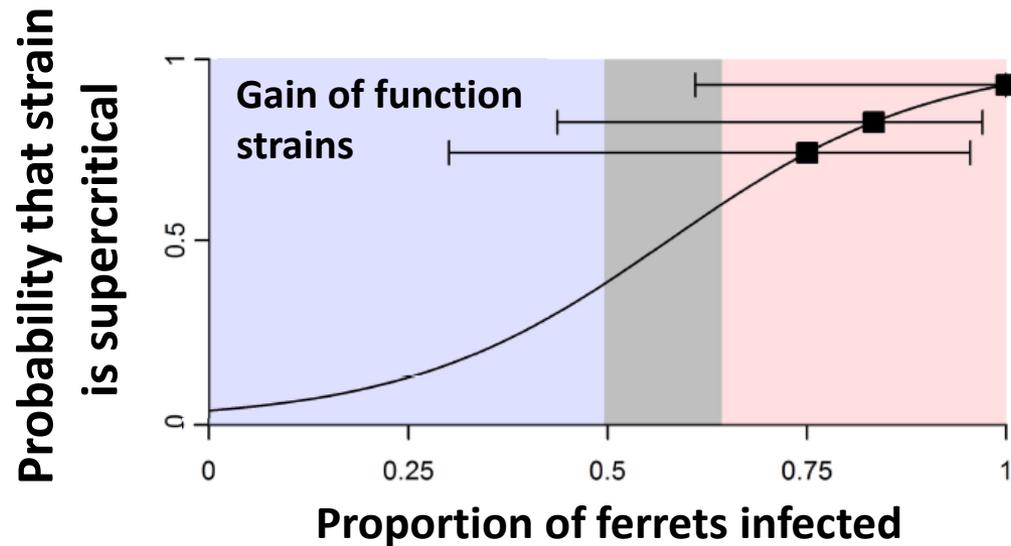
Handles under-ascertainment well but you need to know sources of infection.

Cauchemez et al, PLoS Med (2013)

From viral genetic data (perhaps mixed with epi data)

New approaches, look powerful, but need viral isolates for sequencing.

Assessing transmissibility without data from humans

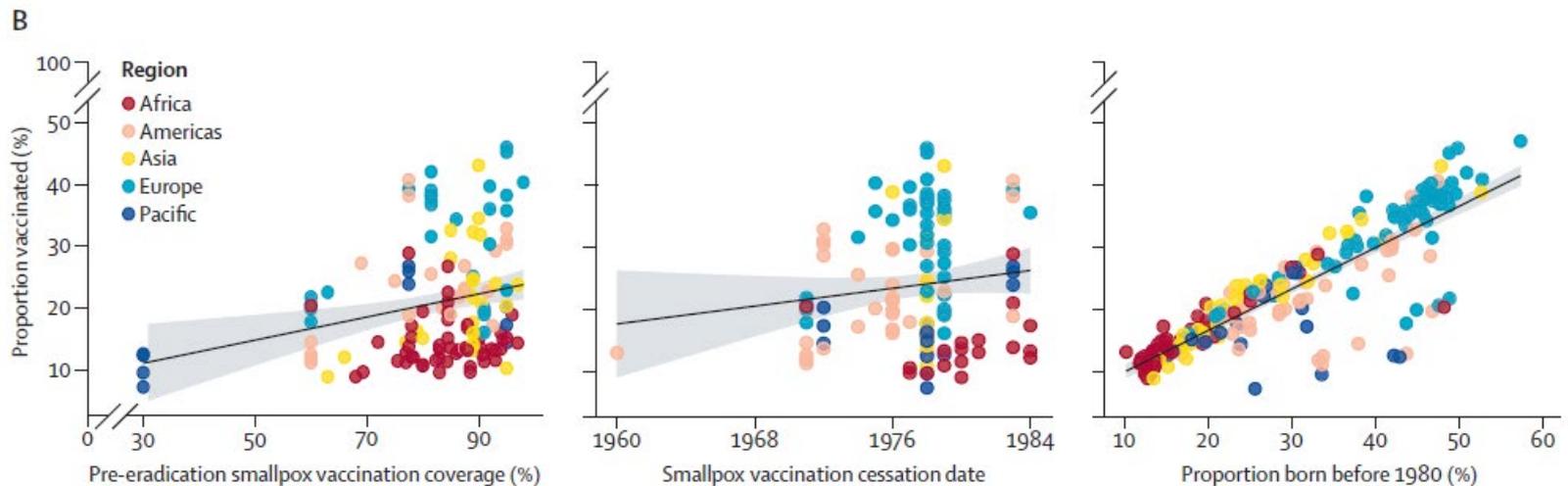
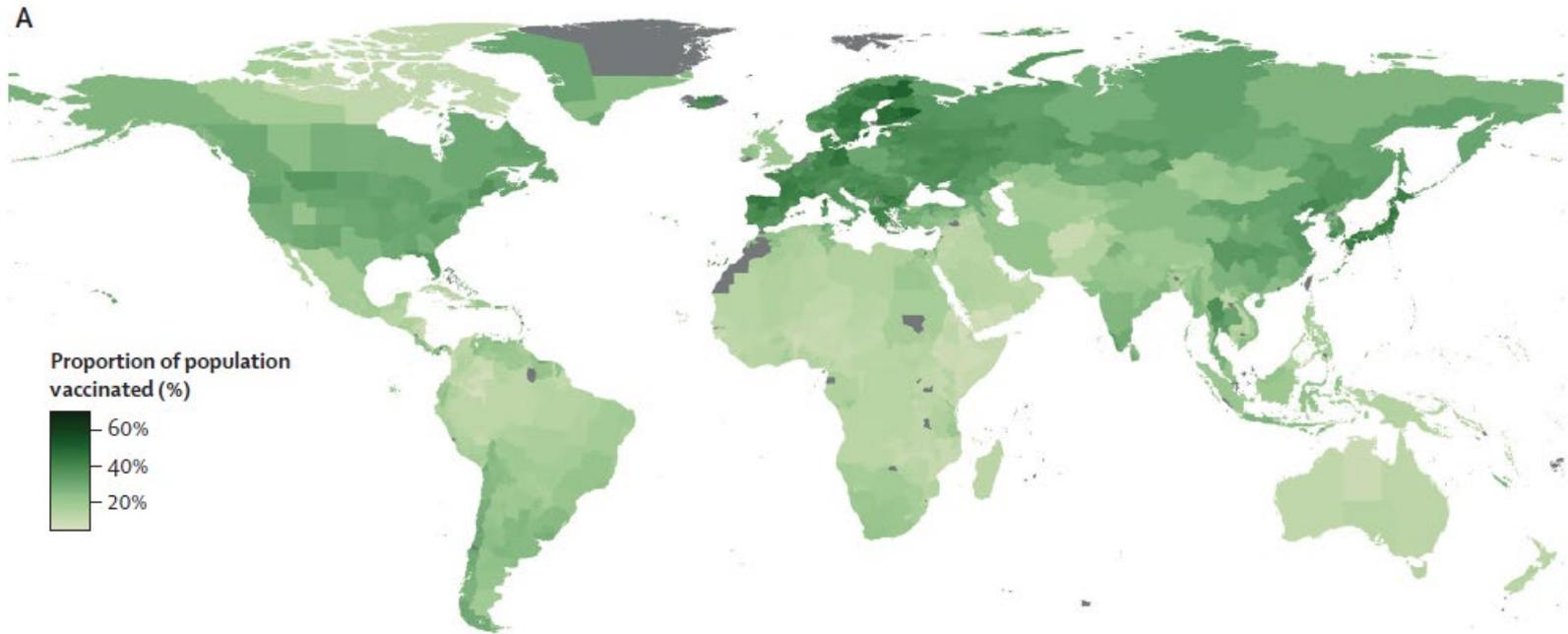


Caveats

- Binomial uncertainty is a huge problem.
- Out-of-sample prediction is inherently risky.

Conclusion: ferret studies are a valid approach to screening novel influenza strains for pandemic potential, but corroborating evidence is needed.

Prior immunity against 'novel' pathogens



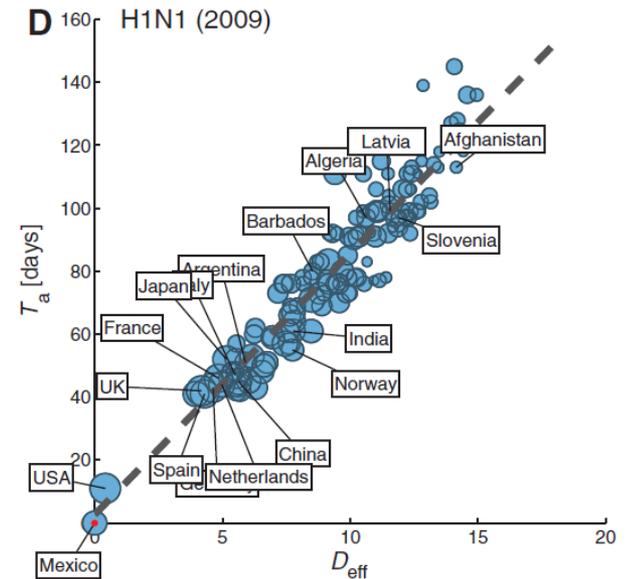
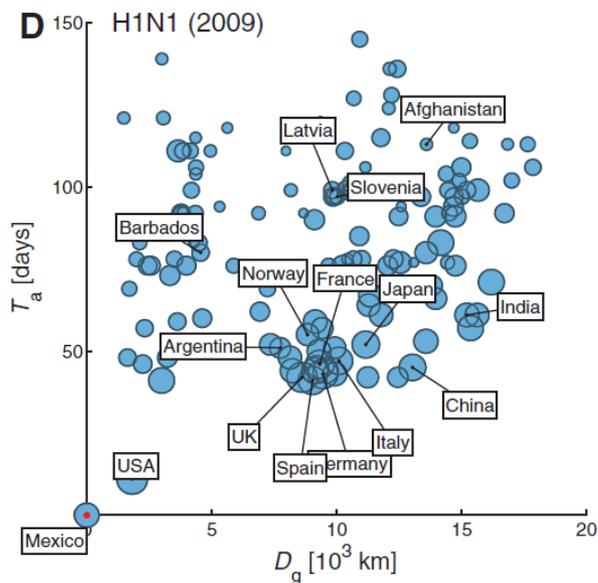
Global spread: air travel networks and rescaling space

Global spread patterns can be predicted by **rescaling space** according to human mobility patterns.

Global air passenger flows



Rescale distance



Control: case isolation, contact tracing and quarantine

Transmission by asymptomatic cases has huge influence on ability to control epidemics by targeted measures.

